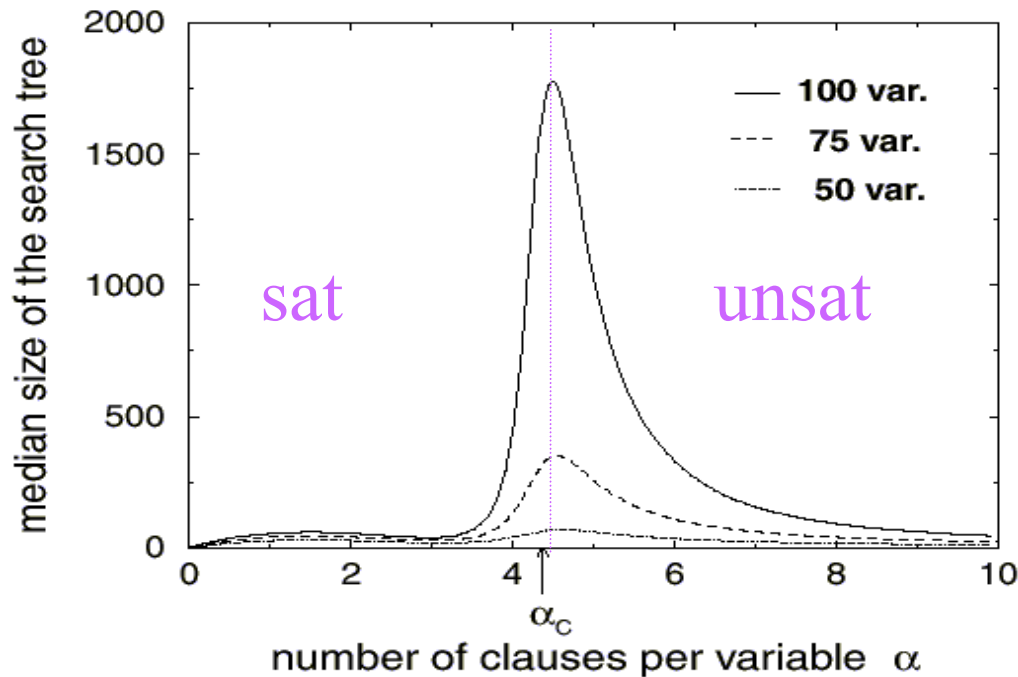


# Typical resolution complexity of 3-SAT



easy-hard-less hard  
pattern




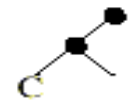
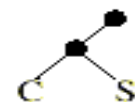
Rigorous results

- linear if  $\alpha \ll \alpha_c$
- exponential if  $\alpha > \alpha_c$
- “time”  $< 1.51^N$

# How to solve 3-SAT?

## “Branch & bound” search algorithm

Davis, Putnam ‘60

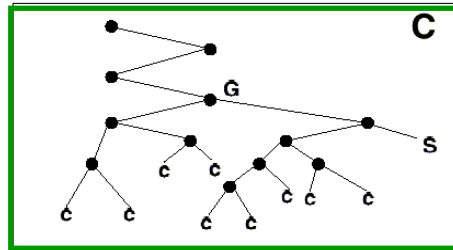
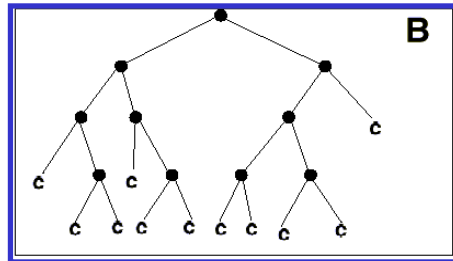
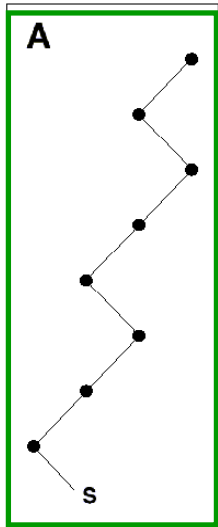
step	clauses	search tree
0	$\begin{array}{l} w \vee \bar{x} \vee y \\ \bar{w} \vee x \vee z \\ \bar{w} \vee \bar{x} \vee \bar{y} \\ \bar{w} \vee x \vee y \\ x \vee y \vee \bar{z} \end{array}$	
1	split : $w = T$	
2	$\begin{array}{l} x \vee z \\ \bar{x} \vee \bar{y} \\ \bar{x} \vee y \\ x \vee y \vee \bar{z} \end{array}$	
3	split : $x = T$	
4	$\begin{array}{l} \bar{y} \\ y \end{array}$	
5	propagation : $y = F, y = T$ contradiction	
6	backtracking to stage 1 : $x = F$	
7	$\begin{array}{l} z \\ y \vee \bar{z} \end{array}$	
8	propagation : $z = T, y = T$ solution : $w = T, x = F, y = T, z = T$	

# Backtrack algorithm, search tree and heuristic

Davis-Putnam algorithm = **heuristic** + backtracking



search tree



A **satisfiable** instance (easy)

B **unsatisfiable** instance (hard)

C **satisfiable** instance (hard)

- **Unit-Clause (UC)**: pick variable in 1-clause if any, or any unset variable
- **Generalized unit-clause (GUC)**: pick variable in shortest clause
- **Shortest Clause With Majority ( $SC_1$ )**: pick most frequent variable in 3-clauses

# Trajectories and the $2+p$ -SAT problem

clauses with 3 var.

$\alpha$

“dynamics”  
of

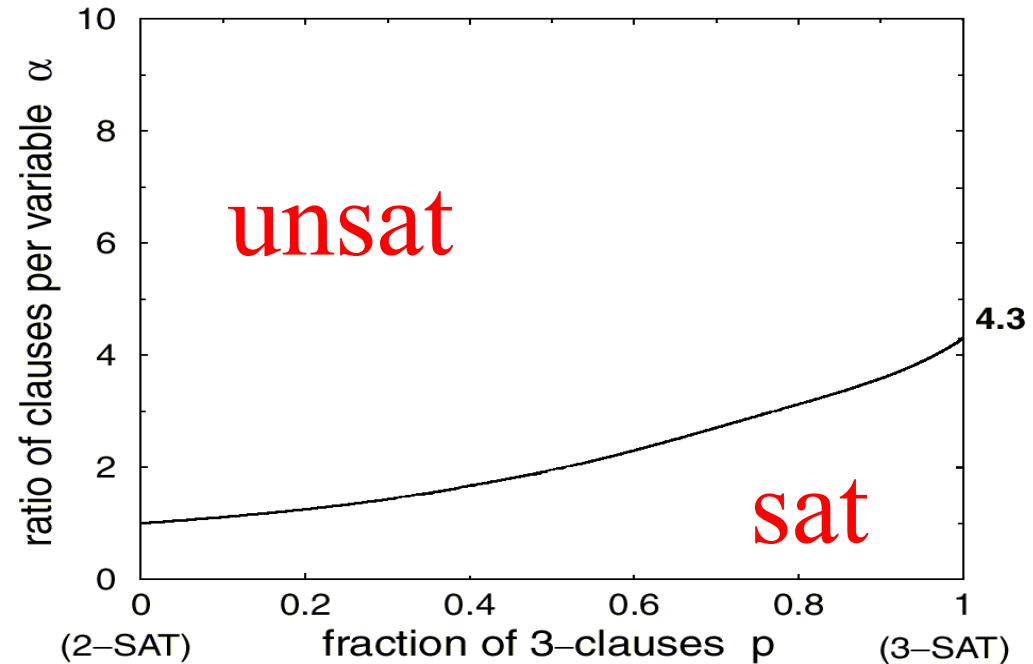


the  
algorithm

clauses with 2 or 3 var.

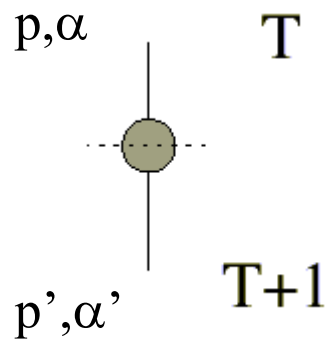
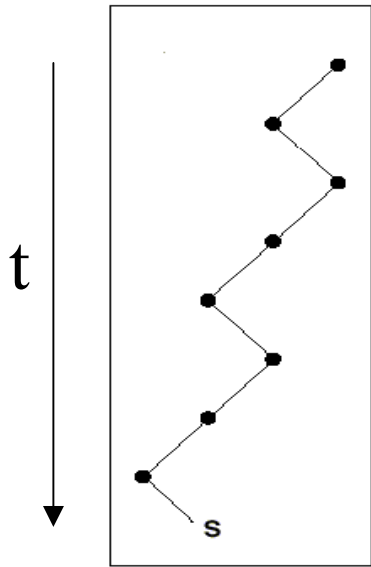
$\alpha, p$

*phase diagram of the  
 $2+p$ -SAT model*



Monasson, Zecchina, Kirpatrick, Selman, Troyansky '99  
Achlioptas, Kirovsi, Kranakis, Krizanc '01

# Satisfiable and easy instances $\alpha < 3.003$



$$p(0) = 1, \alpha(0) = \alpha_0$$

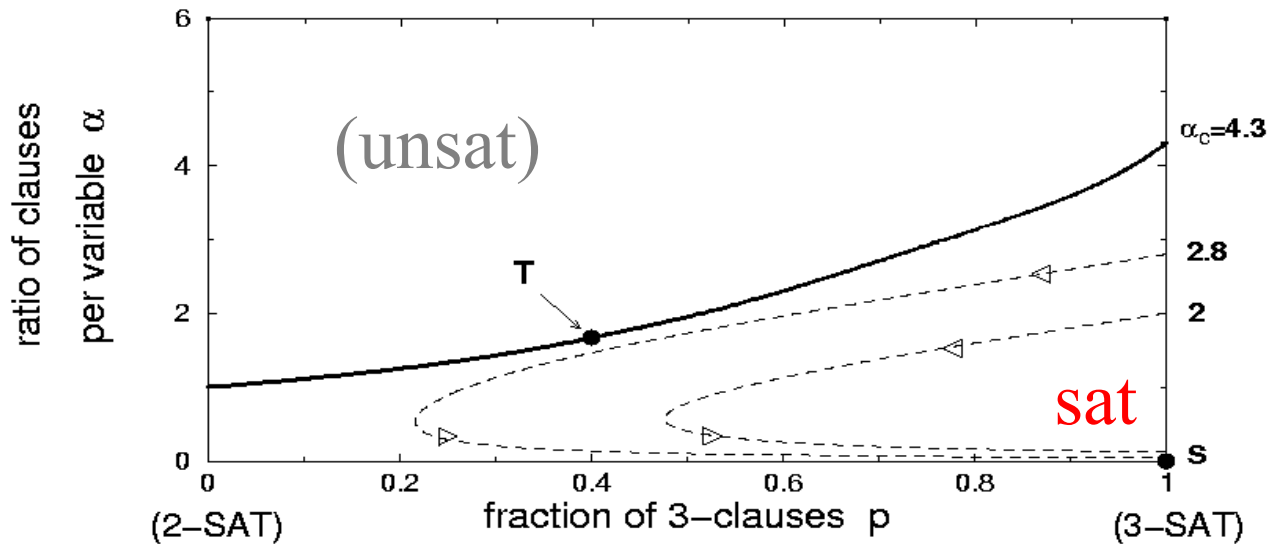
$$\frac{dp}{dt} = F_p(p, \alpha, t)$$

$$\frac{d\alpha}{dt} = F_\alpha(p, \alpha, t)$$

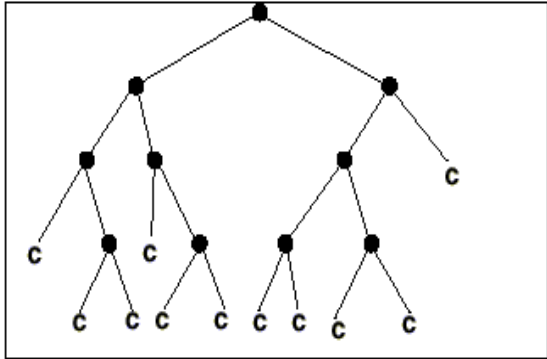
(ODE)

Chao,  
Franco '90

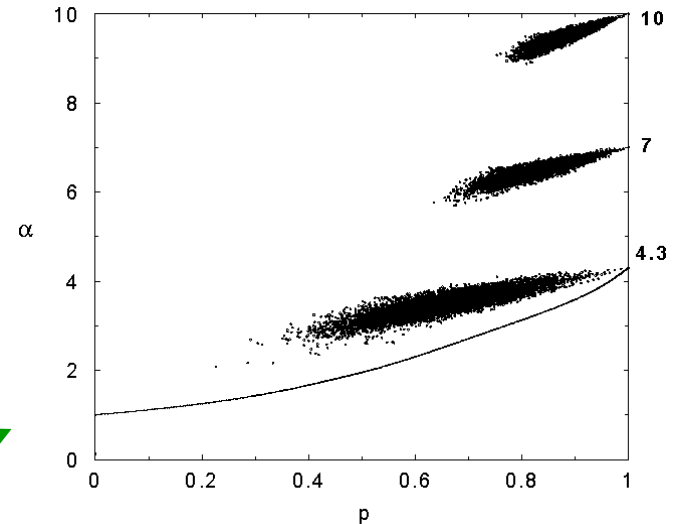
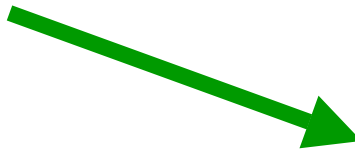
Frieze,  
Suen '96



# Unsatisfiable, hard instances $\alpha > 4.3$



DPLL induces a non Markovian evolution of the search tree

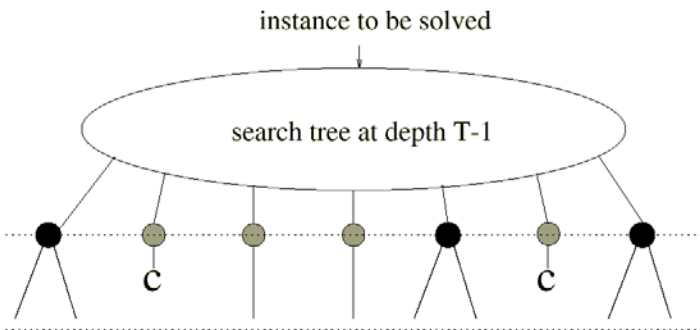


depth

0

T

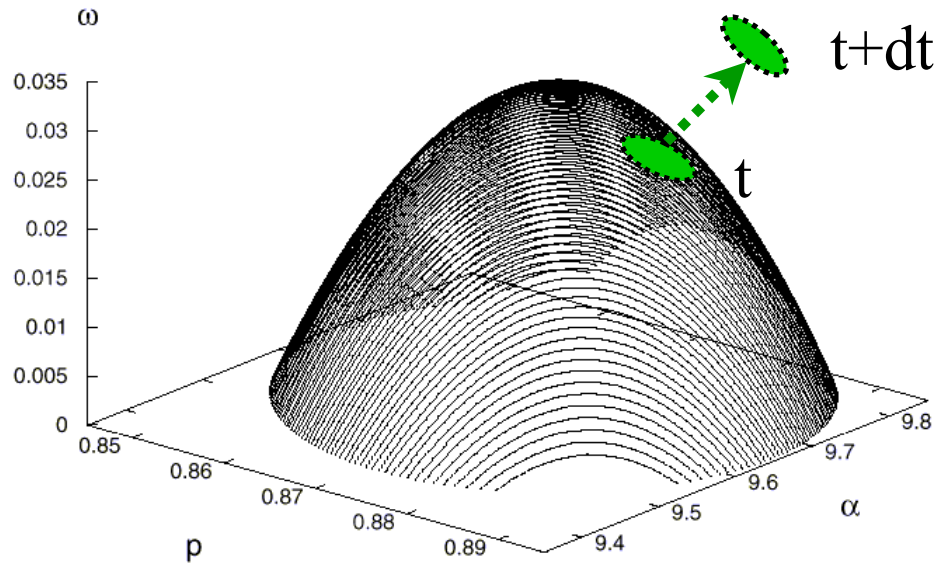
T+1



Imaginary, and parallel building up of the search tree

# The search for solutions, a growth process

one branch:  $p(t), \alpha(t)$   $\longrightarrow$  many branches:  $\omega(p, \alpha, t)$



$$\frac{\partial \omega}{\partial t} = \mathcal{H} \left[ p, \alpha, \frac{\partial \omega}{\partial p}, \frac{\partial \omega}{\partial \alpha}, t \right] \quad (\text{PDE})$$

# Comparison to numerical experiments

$$Q = 2^{N\omega}$$

	Initial Ratio $\alpha_0$	Experiments		Theory $\hat{\omega}$
		$\log_2 Q$	$\log_2 B$	
unsat	20	$0.0153 \pm 0.0002$	$0.0151 \pm 0.0001$	0.0152
	15	$0.0207 \pm 0.0002$	$0.0206 \pm 0.0001$	0.0206
	10	$0.0320 \pm 0.0005$	$0.0317 \pm 0.0002$	0.0319
	7	$0.0482 \pm 0.0005$	$0.0477 \pm 0.0005$	0.0477
	4.3	$0.089 \pm 0.001$	$0.0895 \pm 0.001$	0.0875
sat	3.5	$0.034 \pm 0.003$		0.035
	G	$0.040 \pm 0.002$	$0.041 \pm 0.003$	0.044

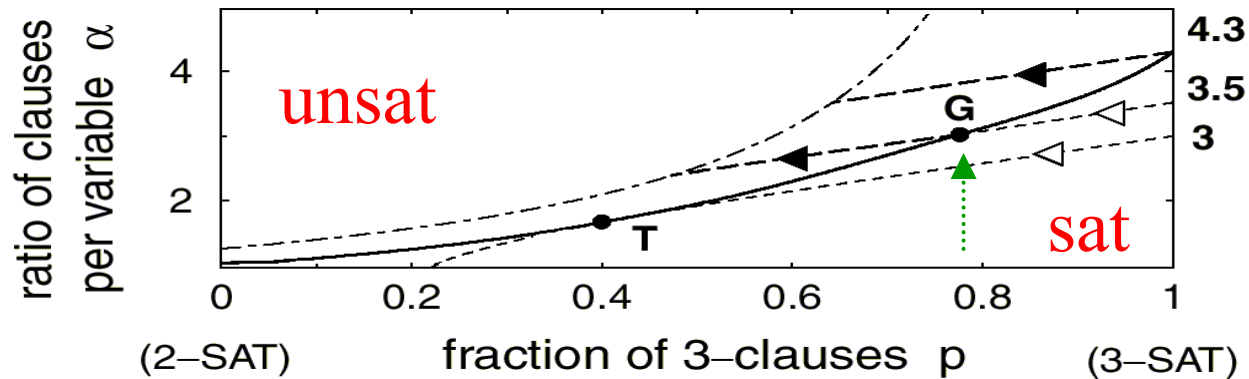
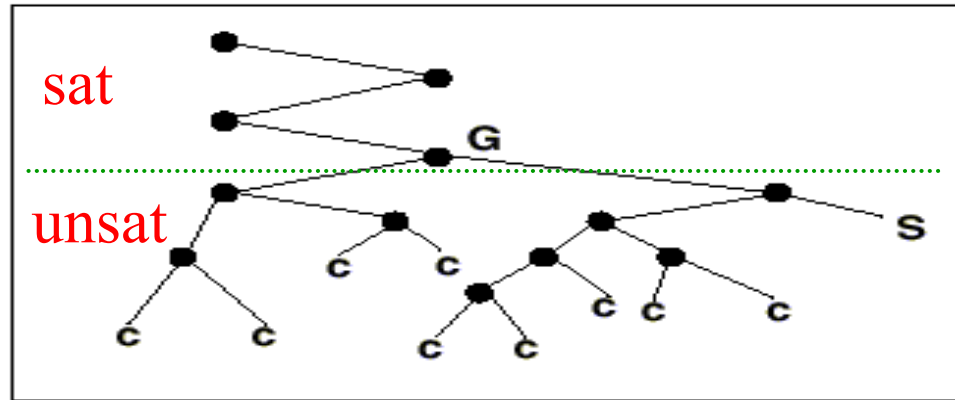
(nodes)
(leaves)

$$\omega = \frac{3 + \sqrt{5}}{6 \ln 2} \left[ \ln \left( \frac{1 + \sqrt{5}}{2} \right) \right]^2 \frac{1}{\alpha} \approx \frac{0.292}{\alpha}$$

Beame, Karp, Pitassi, Saks '98

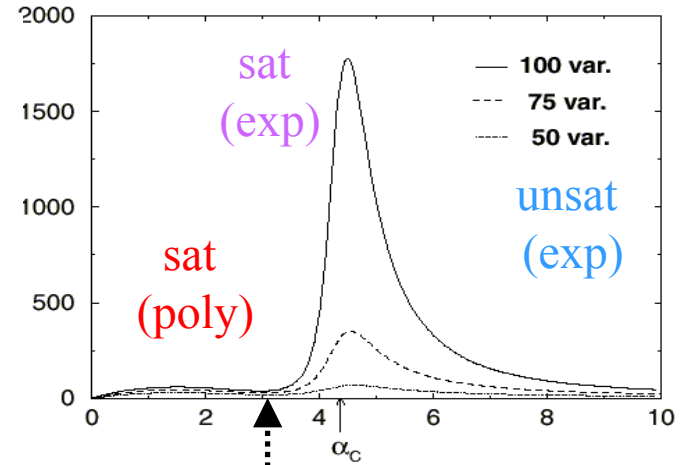
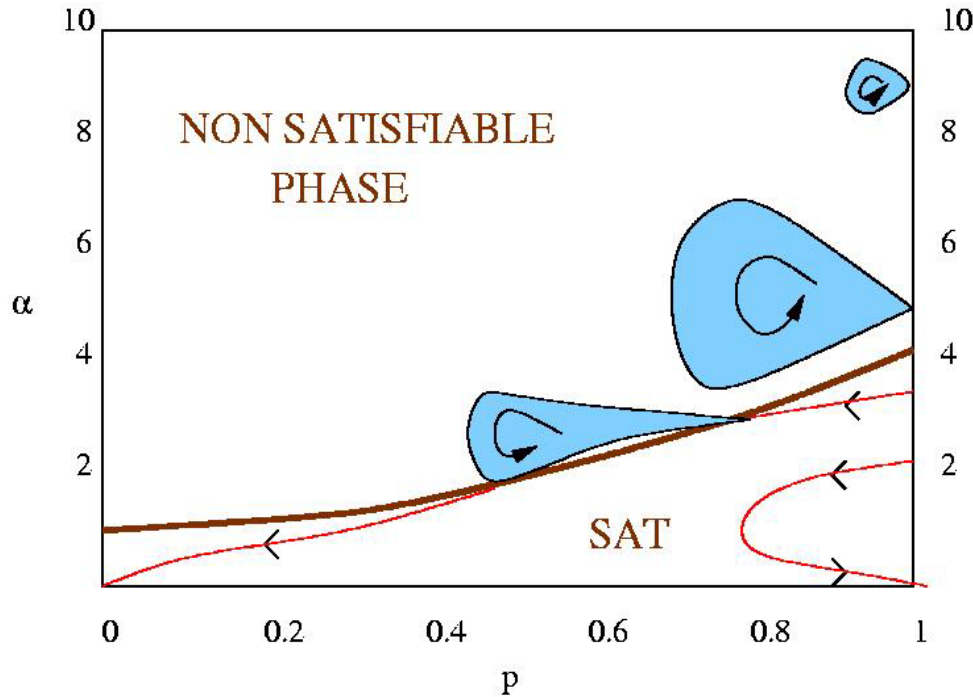


Satisfiable, hard instances  $3.003 < \alpha < 4.3$   
*(which could made be easier?)*



*The complexity of 3-SAT solving is strongly affected by the phase transitions of 2+p-SAT!*

# The polynomial/exponential crossover



“dynamical” transition  
(depends on the heuristic)

$$UC : 2.667$$

$$GUC : 3.003$$

$$M : \approx 3$$

but

$$p_T = \frac{2}{5}, \alpha_T = \frac{5}{3}$$

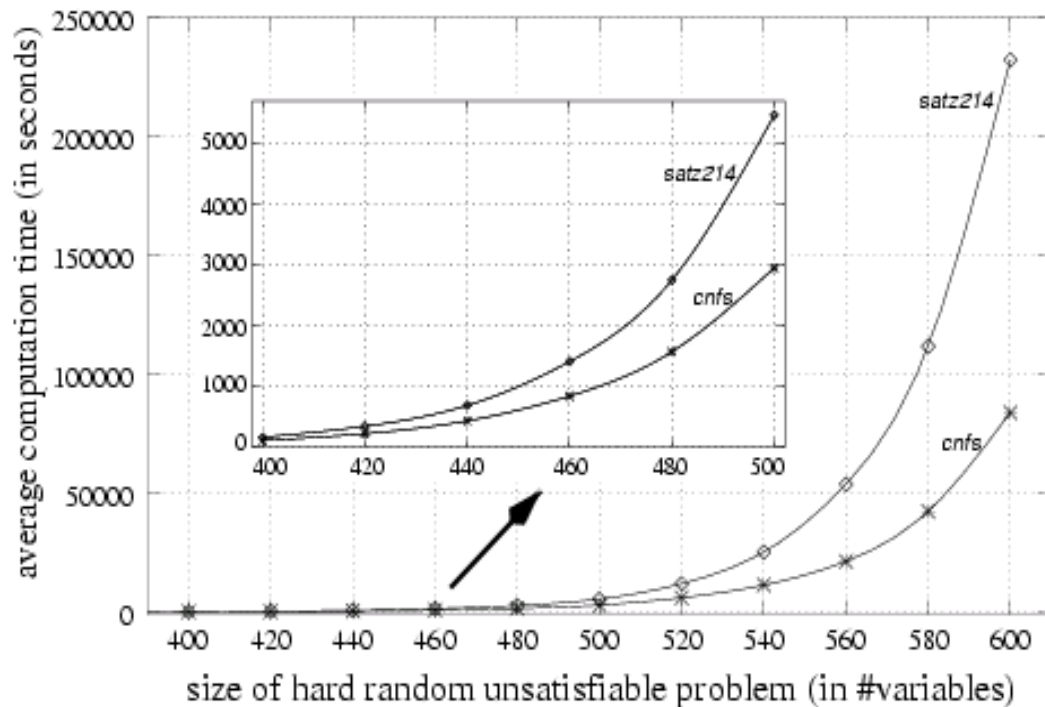
T is largely heuristic independent .... (and close to tricritical point!)

# Application

## *I. Search heuristic and backbone*

Heuristic to assign variables :

Pick up variable that eliminates the largest number of clauses.



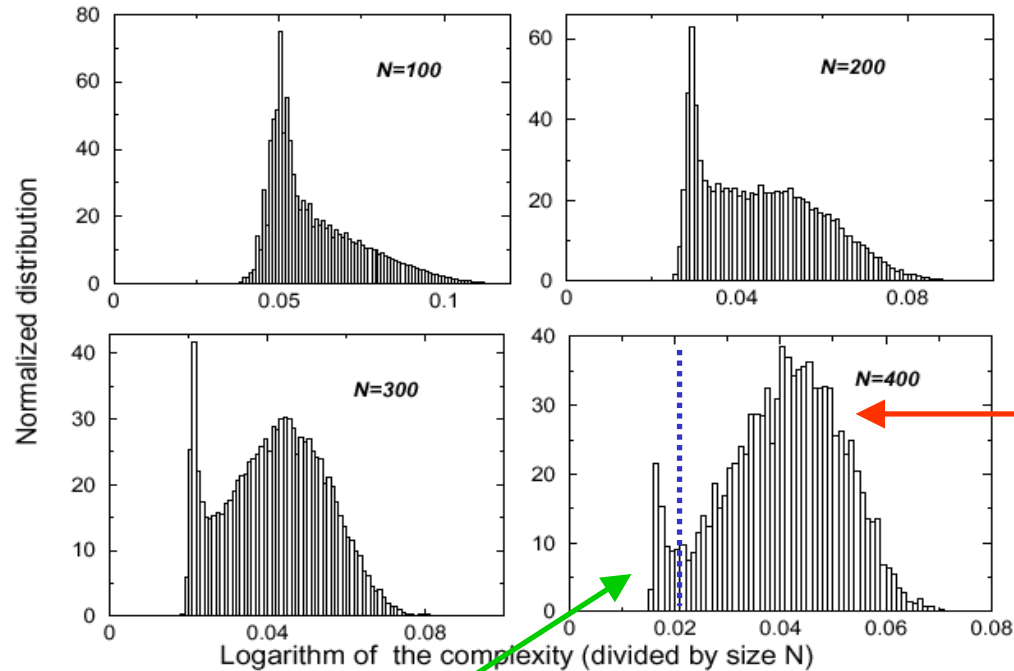
Dubois,  
Dequen '00

Choose a variable likely  
to be in the backbone

# Application *II. Fluctuations and restarts*

Histograms of solving times

$$\alpha = 3.5$$



Linear regime

Very rare! frequency =  $2^{-0.011 N}$

Exponential regime  
Complexity  
=  $2^{0.035 N}$

Resolution through systematic stop-and-restart of the search:

- stop algorithm after time  $N$ ;
- restart until a solution is found.

Cocco, R.M.  
Montanari, Zecchina '01

Time of resolution :

$$2^{0.035 N}$$



$$2^{0.011 N}$$

# Conclusions

- Computational problems can be studied with statistical physics concepts and techniques  
*(replica method, phase diagram, dynamical trajectories, growth processes, ....)*
- General framework for the probabilistic analysis of hard decision or optimization problems for both static and dynamic properties  
*(Traveling Salesman Problem, Vertex Cover, Graph Coloring, ...)*
- Open Issues:
  - *robustness to instance perturbation*  
*(replica symmetry breaking vs. droplet theory)*
  - *study of approximation algorithm*
  - *question of probabilistic analysis (in physics too?)*
    - \* *more realistic distributions*
    - \* *analysis of algorithm for a given instance (thermal vs. quenched disorder)*