

*Progresses in the analysis of Stochastic
2D cellular automata:*

Asynchronous 2D Minority

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Joint work with

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Asynchronous Systems

Most of the real systems are asynchronous

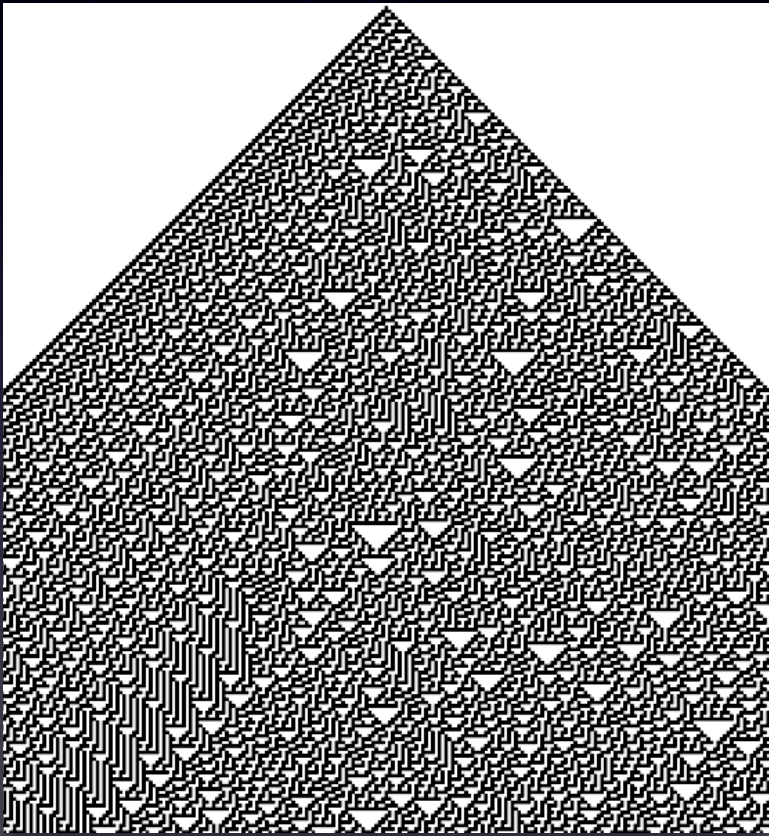
- Networks, physical particles, biological cells...
- How does **randomness introduced by asynchronicity** affect the global behavior of these systems?

Example

A ring network

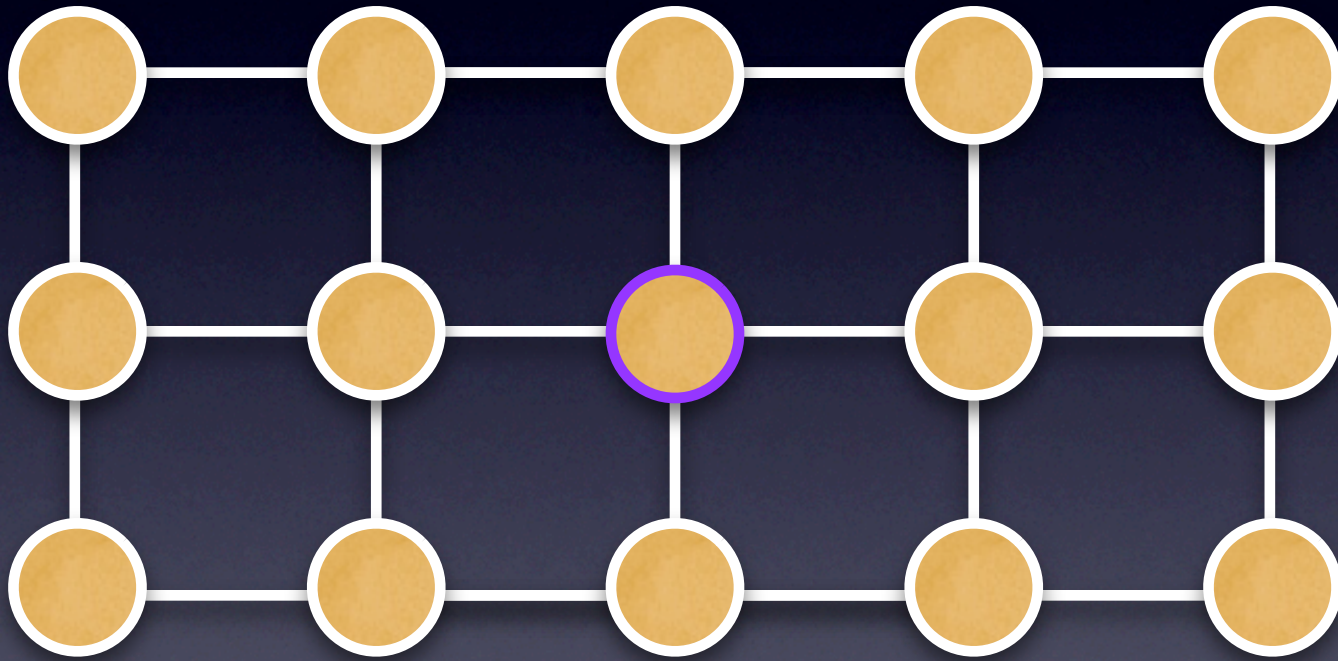
- where each node has **two states**:
“has a token” or “does not have a token”
- running an algorithm that redistributes the tokens according to some **rules/constraints**:
*“I get a token if none of my neighbors have one” or
“I get a token if my right neighbor has one”,...*
- **Example of question**
How long does it take to reach a “stable configuration”?

Nature is an other example

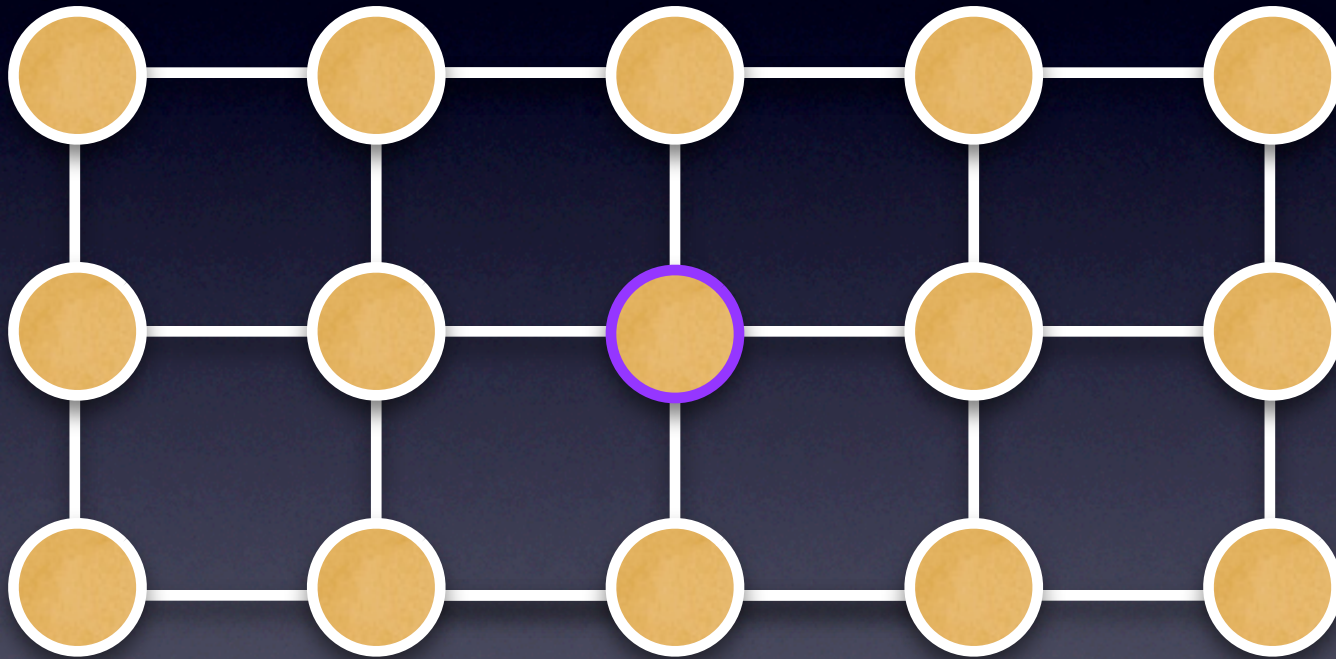


Patterns **are** governed by rule 30 as the shell grows

2D Cellular automata

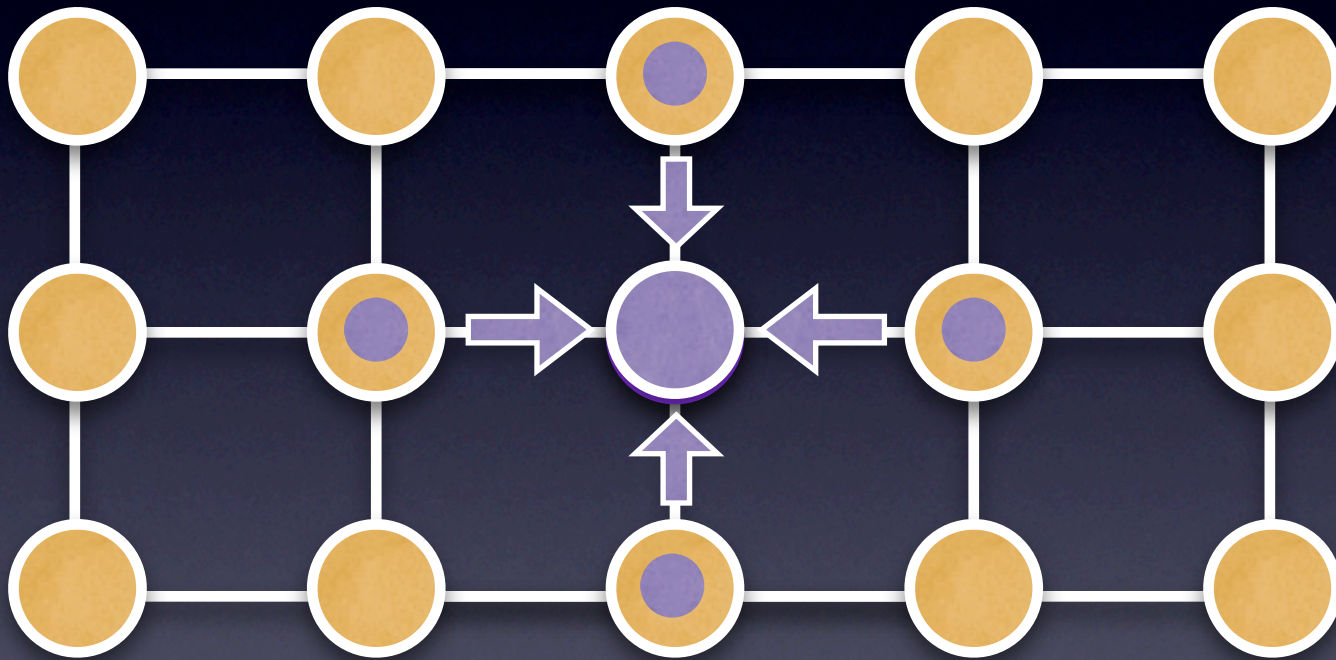


2D Cellular automata



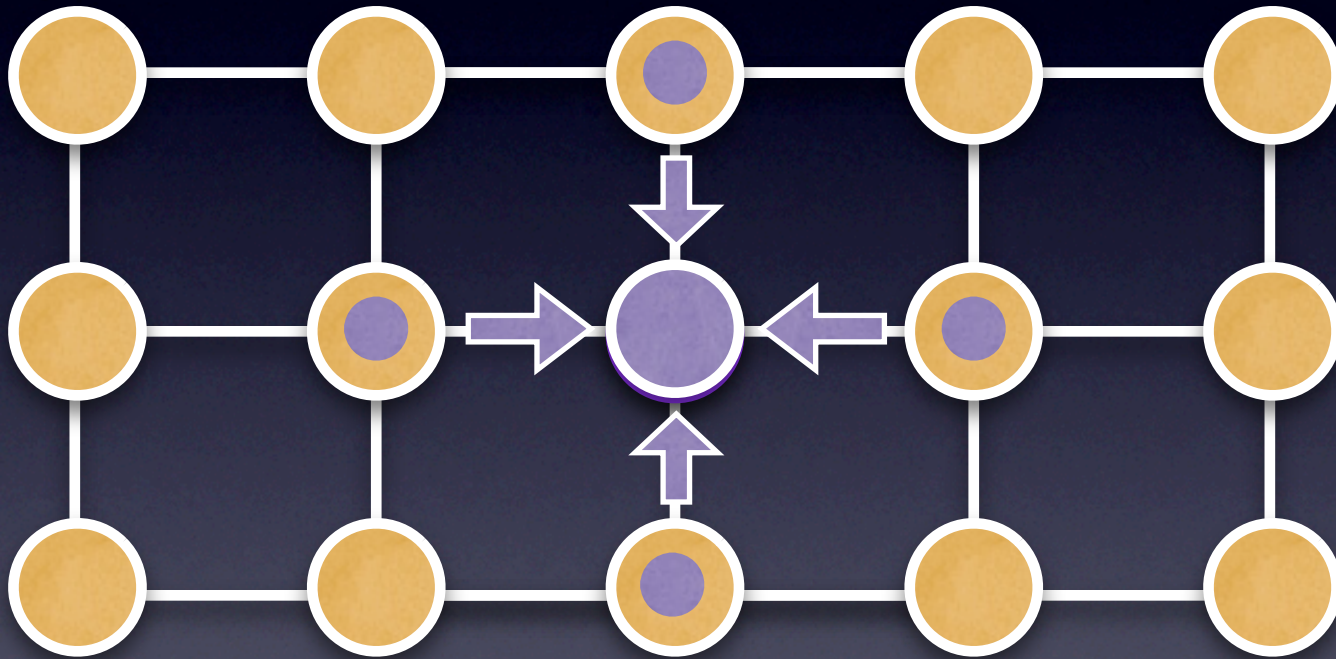
At each time step, each cell updates its states according to the state of its neighbors

2D Cellular automata



At each time step, each cell updates its states according to the state of its neighbors

2D Cellular automata



Extensively used in physics, biology, ...
What happens in asynchronism regims?

Cellular automata, here

- **0/1** state (**0** = white & **1** = black)
- **Full asynchronism**
A daemon chooses a random cell uniformly at random and updates it
- **α -asynchronism**
Each cell is independently updated with probability **$0 < \alpha < 1$**

Full synchronism: $\alpha = 1$

Full asynchronism: “limit” for $\alpha \rightarrow 0$

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A red starburst graphic with multiple points, centered on a dark blue background. The word "Demo" is written in white, bold, sans-serif font across the center of the starburst.

Demo

Historic

Ergodicity of deterministic CA with random noise

- Toom - Gacs - Gray - Park - Louis (1974-)

Indecidability of independance to update history

- Gacs (2002)

Empiral studies of asynchronism

- Buvel, Ingerson - Bersini, Detour - Schönfish, de Roos (1995-)

Study of particular automata or classes of automata

- Fuks (2004 -)
- Fatès, Morvan, Regnault, S., Thierry (2005-)
- Chassaing, Gerin (2007)

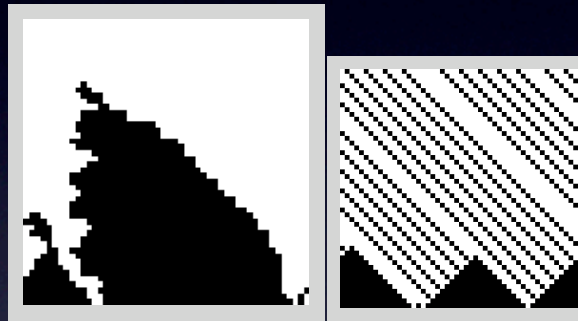
Historic: 1D automata

6 types of relaxation times

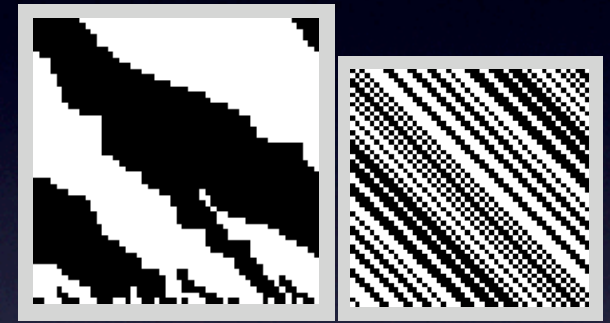
[FMST 2005, FRST 2006, CG 2007]



(a) LOGARITHMIC (232)



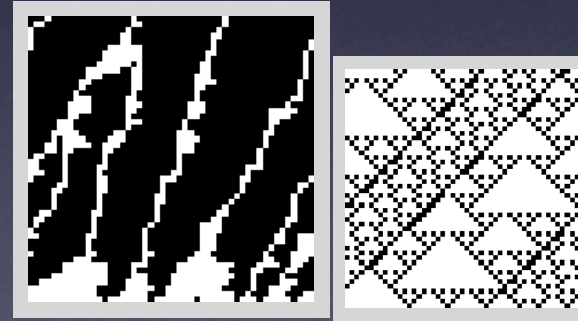
(b) LINEAR (130)



(c) QUADRATIC (170)



(c') QUADRATIC (146)



(d) EXPONENTIAL (210)

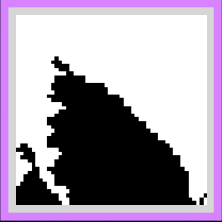


(e) DIVERGING (150)

232



130



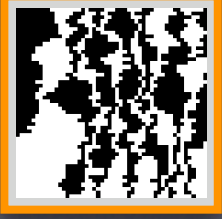
170



210



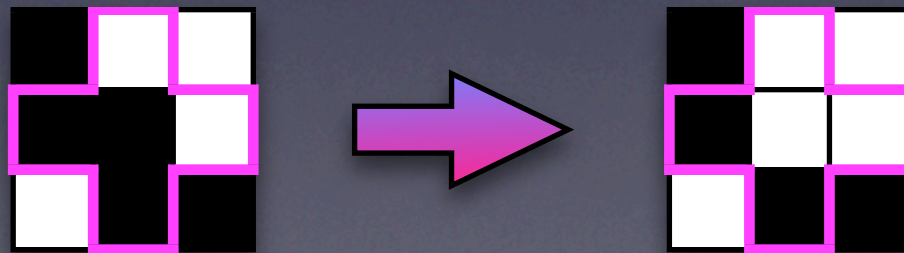
150



Behavior	ACE (#)	Rule	01	10	010	101	Convergence
Identity	204 (1)	\emptyset	•	•	•	•	0
Coupon collector	200 (2)	E	•	•	✓	•	$\Theta(n \ln n)$
	232 (1)	DE	•	•	✓	✓	
Monotone	206 (4)	B	←	•	•	•	$\Theta(n^2)$
	222 (2)	BC	←	→	•	•	
	234 (4)	BDE	←	•	✓	✓	
	250 (2)	BCDE	←	→	✓	✓	
	202 (4)	BE	←	•	✓	•	
	192 (4)	EF	→	•	✓	•	
	218 (2)	BCE	←	→	✓	•	
Biased random walks	128 (2)	EFG	→	←	✓	•	$\Theta(n^2)$
	242 (4)	BCDEF	↔	→	✓	✓	
Random walks	130 (4)	BEFG	↔	←	✓	•	$\Theta(n^3)$
	226 (2)	BDEF	↔	•	✓	✓	
	170 (2)	BDEG	←	←	✓	✓	
	178 (1)	BCDEFG	↔	↔	✓	✓	
	194 (4)	BEF	↔	•	✓	•	
	138 (4)	BEG	←	←	✓	•	
Biased random walk	146 (2)	BCEFG	↔	↔	✓	•	$\Theta(n^2)$
	210 (4)	BCEF	↔	→	✓	•	
Diverging	198 (2)	BF	↔	•	•	•	Diverging
	142 (2)	BG	←	←	•	•	
	214 (4)	BCF	↔	→	•	•	
	150 (1)	BCFG	↔	↔	•	•	

Fully asynchronous 2D Minority

- 0/1 states
- $n \times m$ toric configurations
- A daemon selects **uniformly at random** a cell and the cell updates to the **minority state** among its 4 neighbors and itself.



Energy function

Potential. $\mathbf{v}_{ij} = \#\{\text{neighbors in the same state as } (i,j)\}$

Cell (i,j) is **active** if $\mathbf{v}_{ij} \geq 2$.

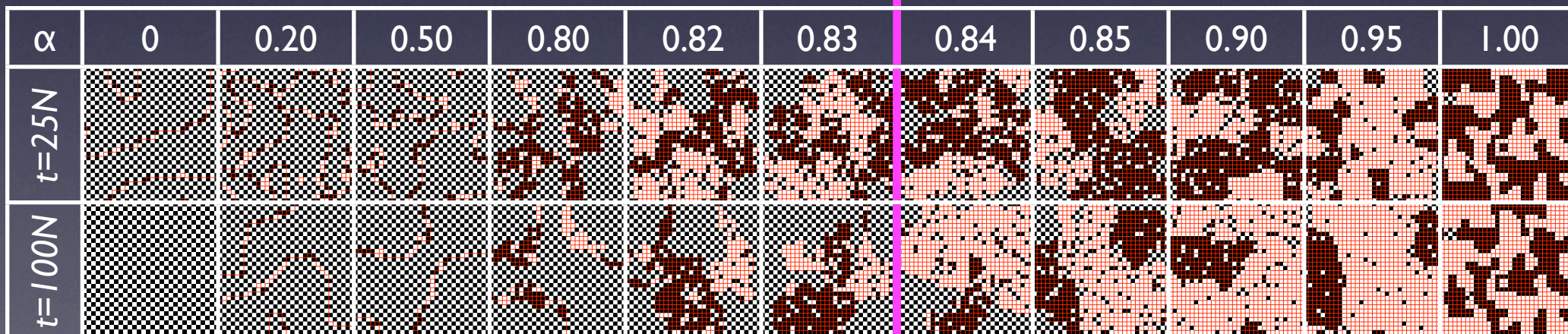
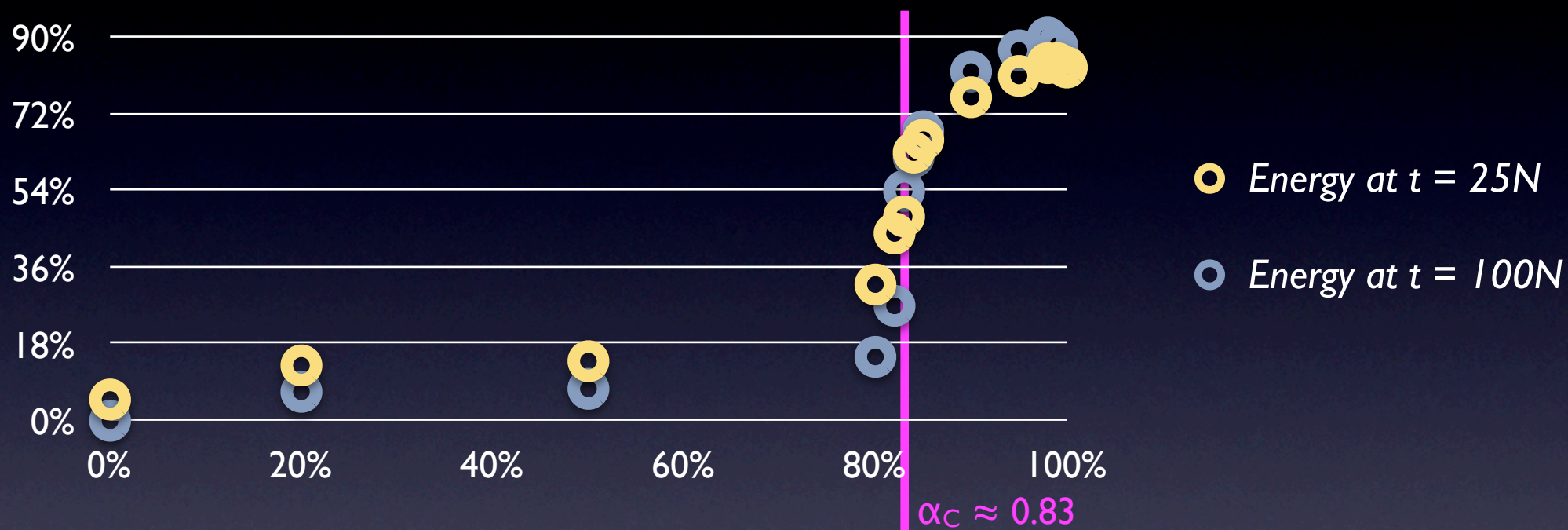
Energy of configuration \mathbf{c} :

$$\mathbf{Energy}(\mathbf{c}) = \sum_{(i,j)} \mathbf{v}_{ij}$$

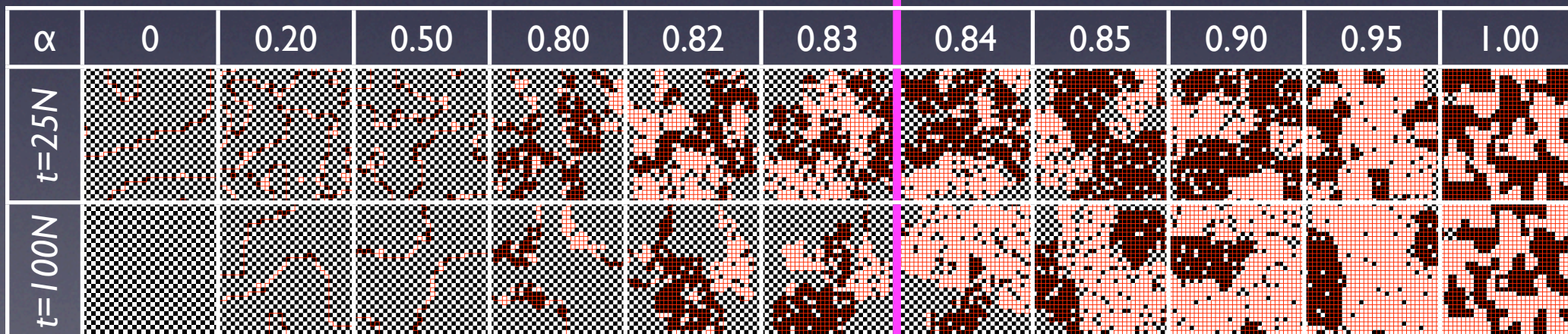
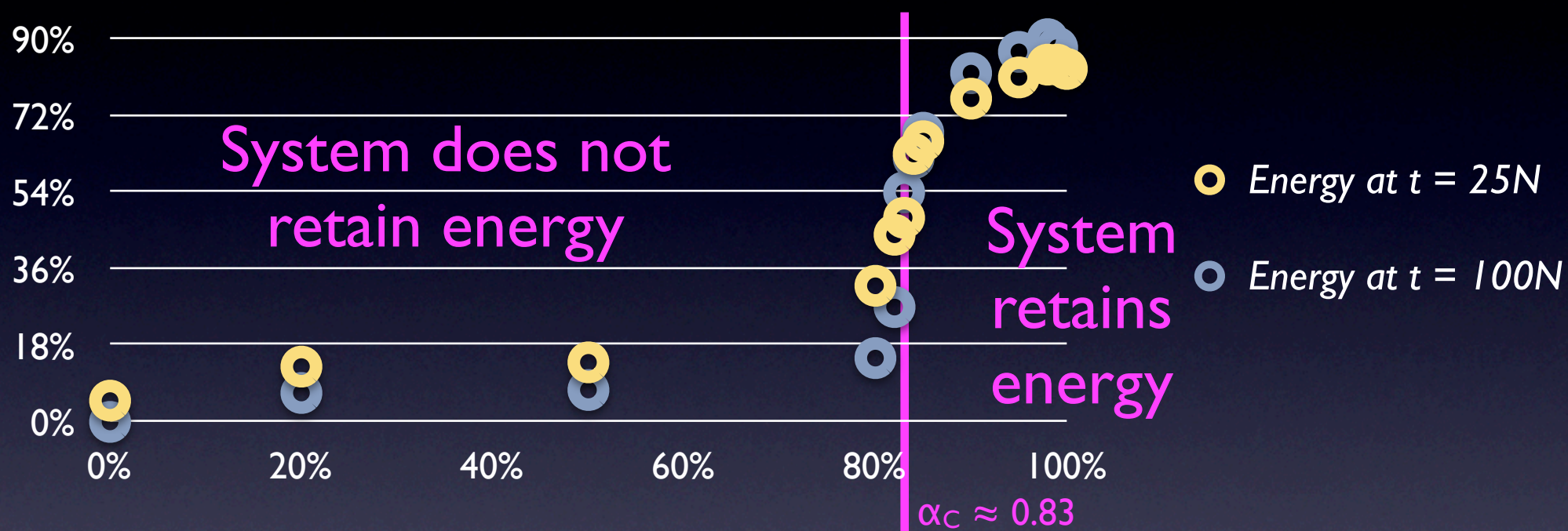
Fact. The expected energy of a random configuration is

$$\mathbf{2 N}, \quad \text{where } N = n m$$

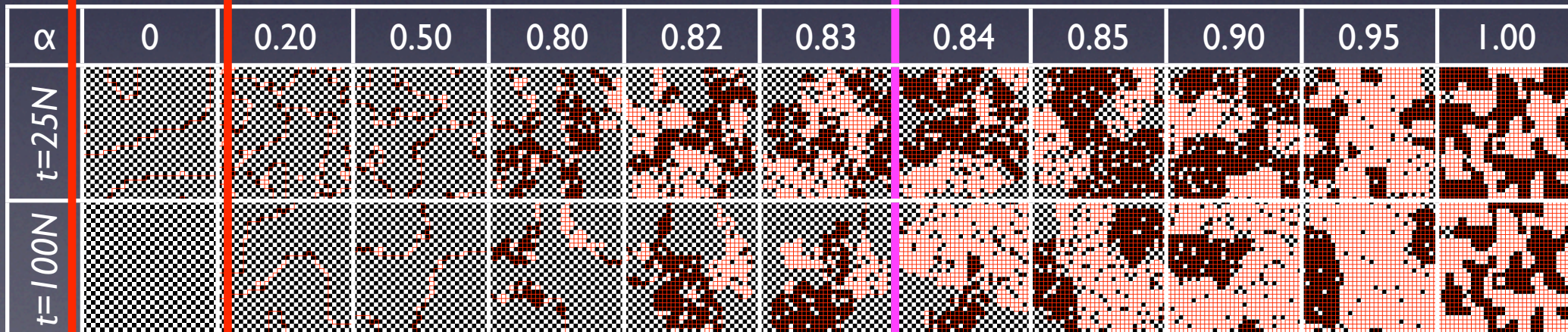
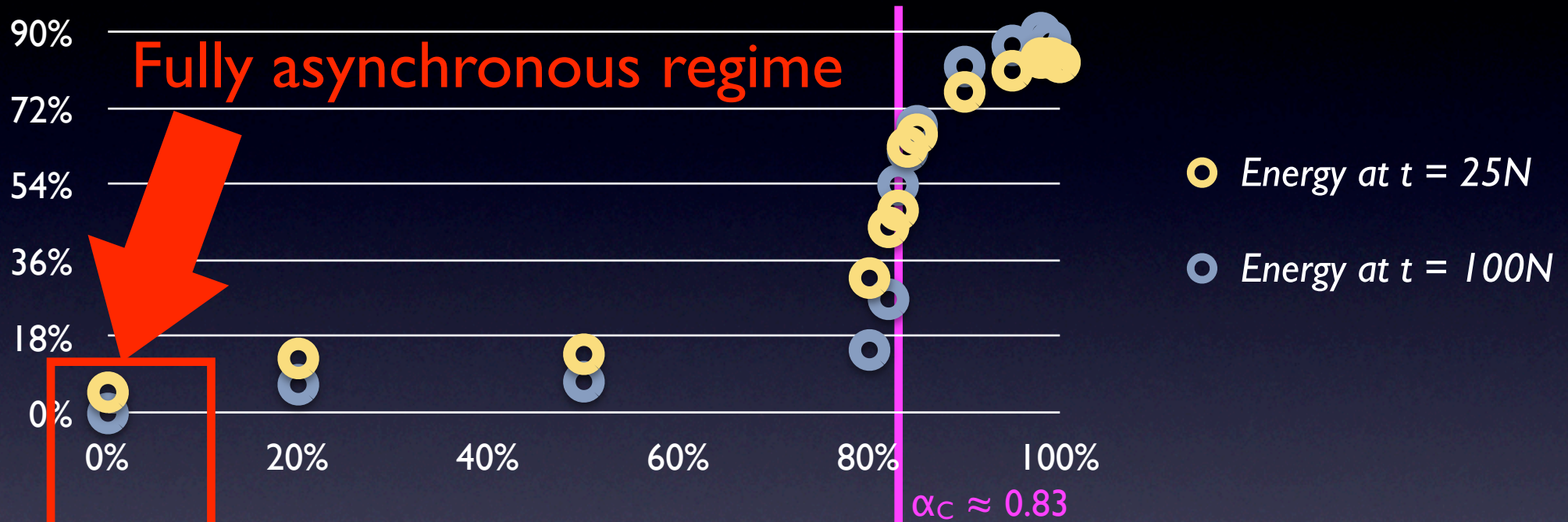
Observed phase transition



Observed phase transition



Observed phase transition



Energy is non-increasing when fully asynchronous

Theorem. The energy of a configuration is a **non-increasing** function of time in fully asynchronous dynamic.

Energy decreases by **at least 4** each time a cell with **potential at least 3** is updated.

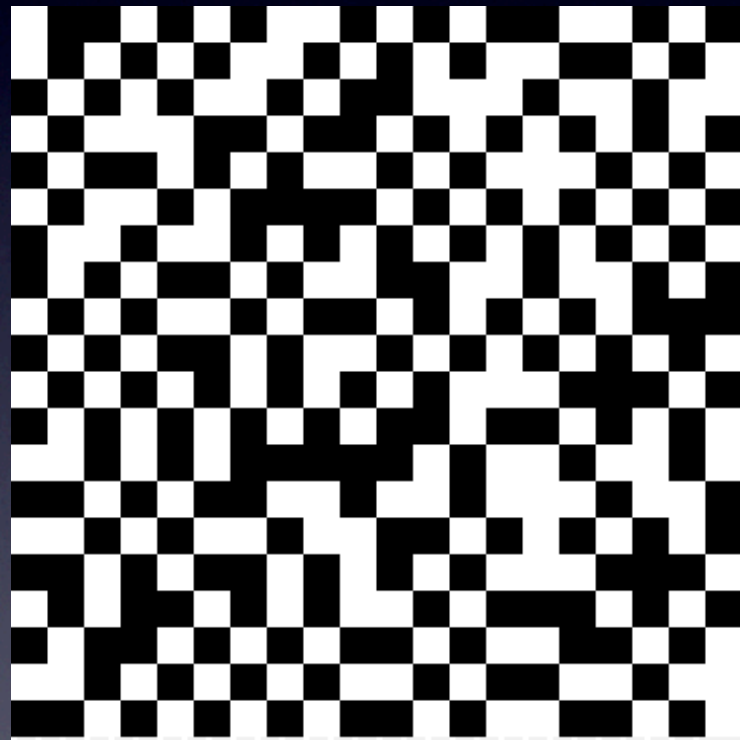
proof. $\Delta\text{Energy} = 8 - 4 \mathbf{v}_{ij}$, when (i,j) is updated.

Initial energy drop

Theorem. The energy of any configuration of size N is at most $N + 2N/3$ after $O(N^2)$ updates on expectation.

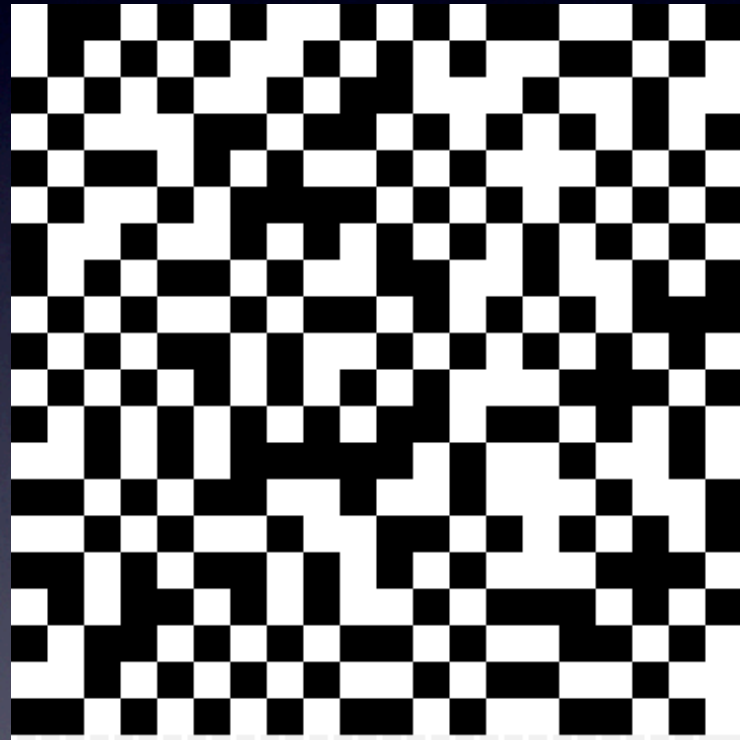
proof. Any such configuration contains a neighborhood in which a finite sequence of updates decreases the energy by at least 1.

Borders



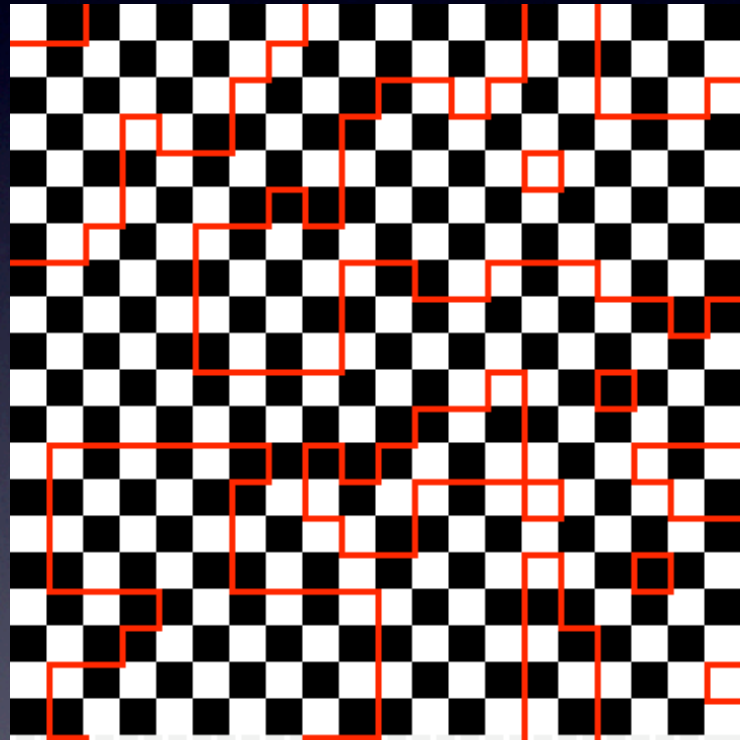
Borders

There is a **red border** between two **neighboring** cells in the **same** state.



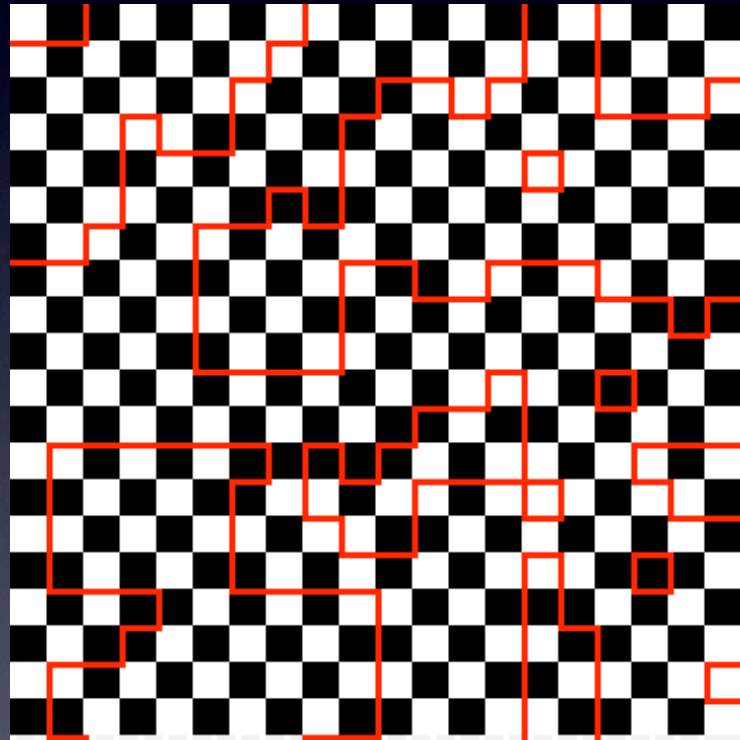
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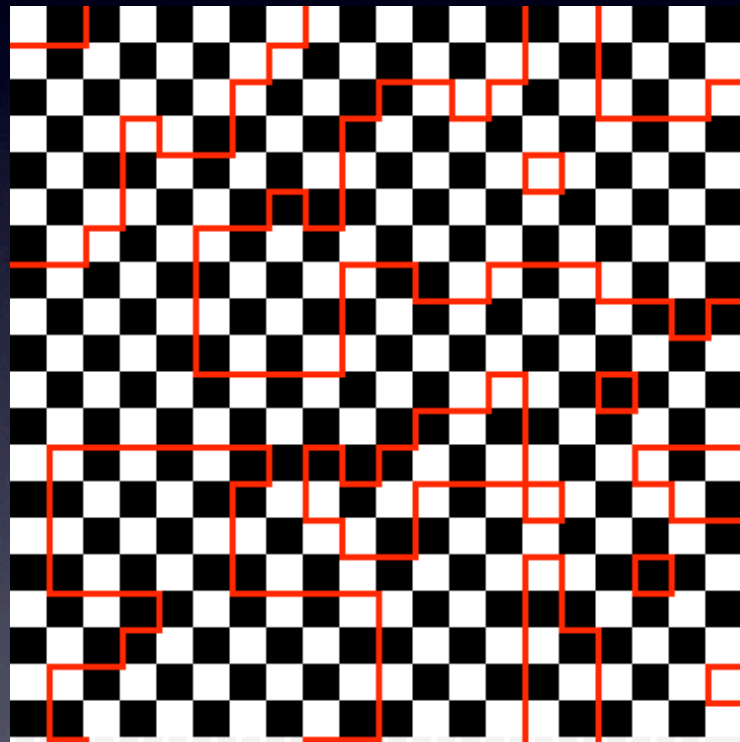


Fact. The borders are the **boundaries** of the covering homogeneous checkerboards regions

Dual configurations

(from now on, n and m are even)

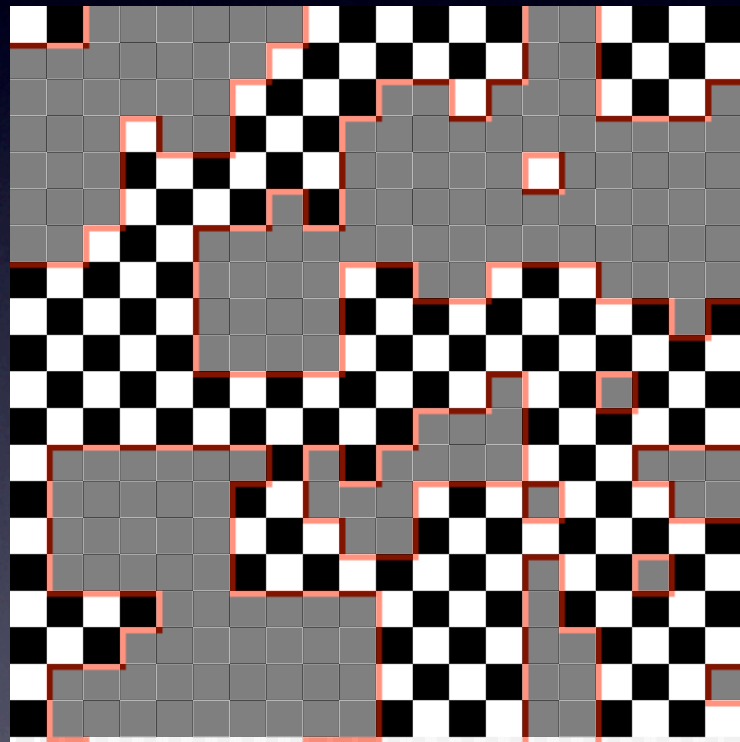
dual configuration = configuration XOR checkerboard



Dual configurations

(from now on, n and m are even)

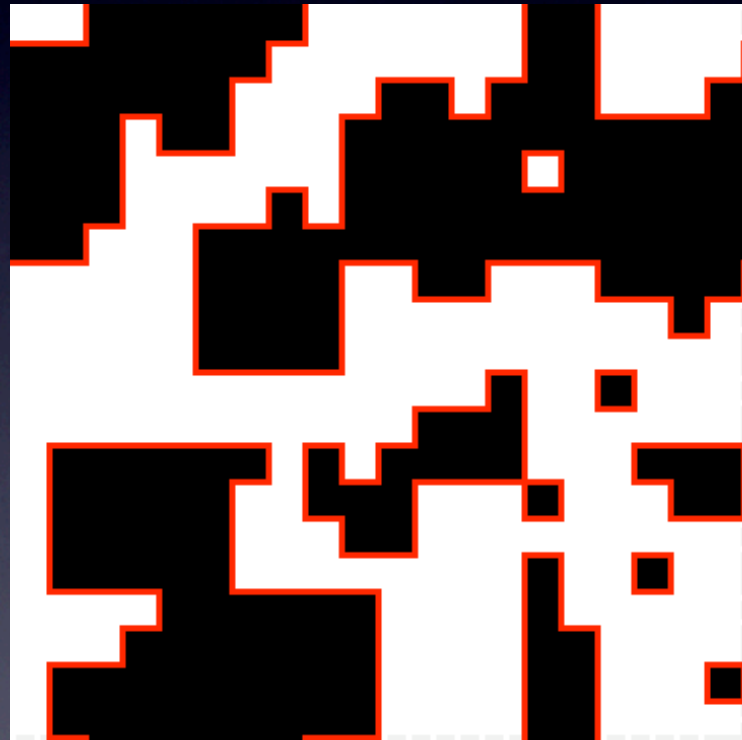
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Dual configurations

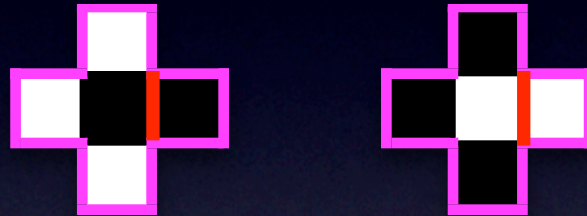
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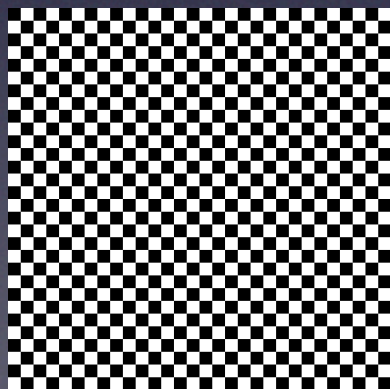


Stable configurations

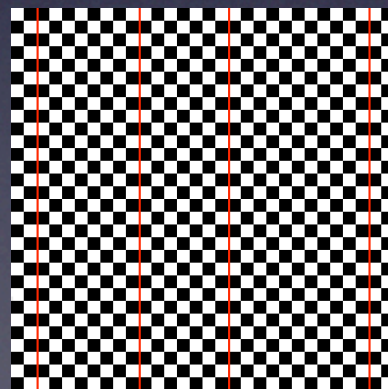
Stable configurations are made of cells with **potential ≤ 1** , i.e. in contact with at most one border:



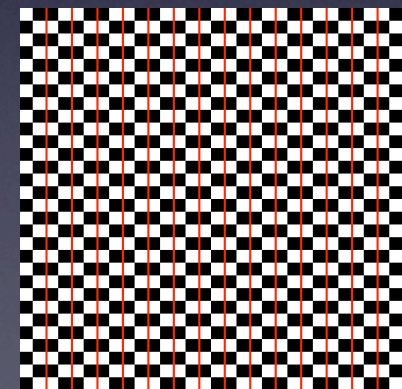
Fact. Stable configurations are made of an **even** number of bands of **width ≥ 2** tiled by alternating checkerboards.



of lowest energy



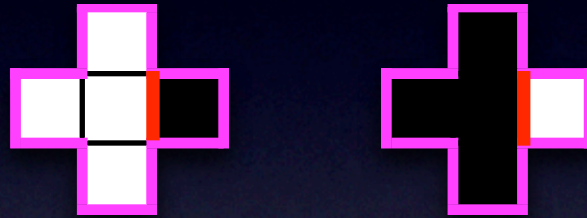
of higher energy



of highest energy

Stable configurations

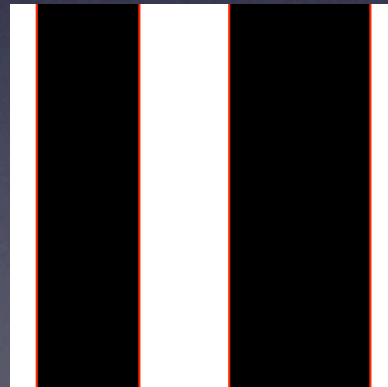
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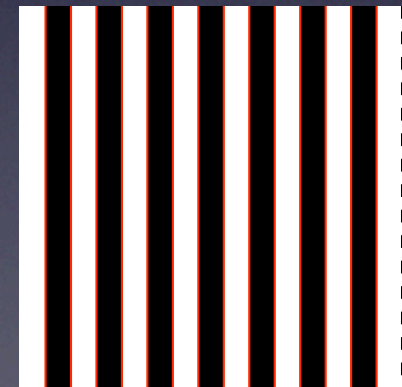
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of lowest energy

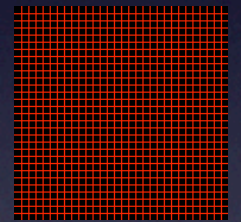
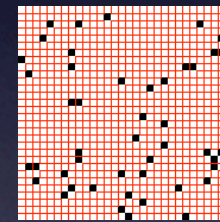
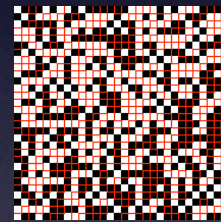
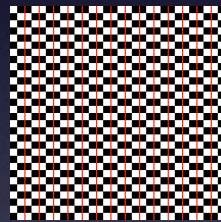
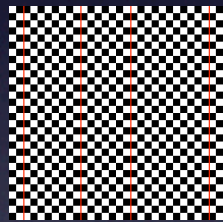
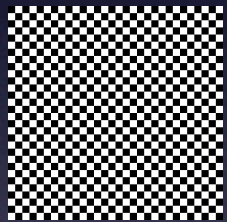


of higher energy



of highest energy

Energy of configurations



Lowest energy

Stable

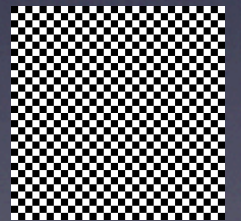
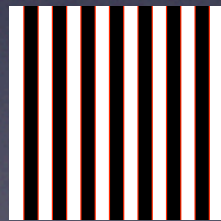
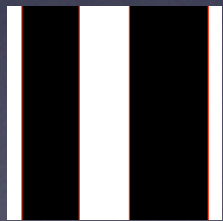
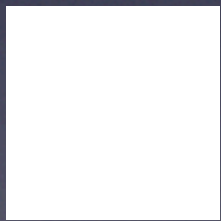
Highest energy

Random

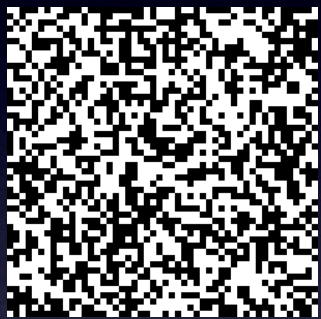
High energy

All black

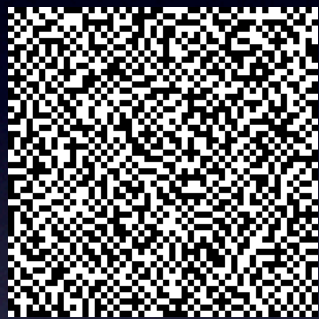
Dual



Typical asynchronous 2D minority



$t = 0$



$t = N$



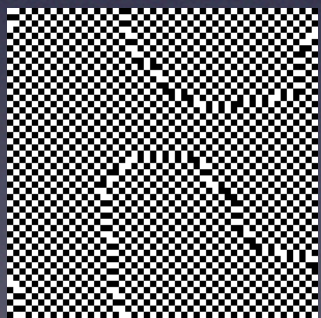
$t = 5N$



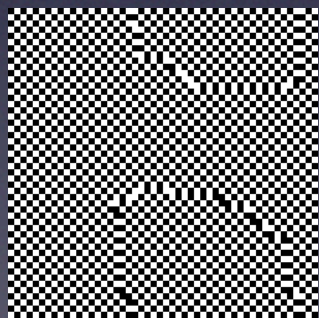
$t = 20N$



$t = 50N$



$t = 75N$



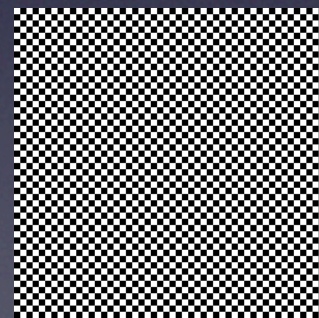
$t = 150N$



$t = 300N$



$t = 350N$



$t = 381N$

Convergence is almost sure

Definition. The dynamics **converges** from an **initial configuration** c^0 if $\mathbf{T} = \min\{t : c^t \text{ is stable}\}$ is almost surely **finite**.

Theorem. For all c^0 , $\mathbf{E}(\mathbf{T}) \leq 2N \cdot N^{2N}$.

Proof. The following is a sequence of at most $2N$ updates that stabilizes any configuration:

- 1.** As long as there is an active black cell, **flip** it;
- 2.** As long as there is an active white cell, **flip** it.

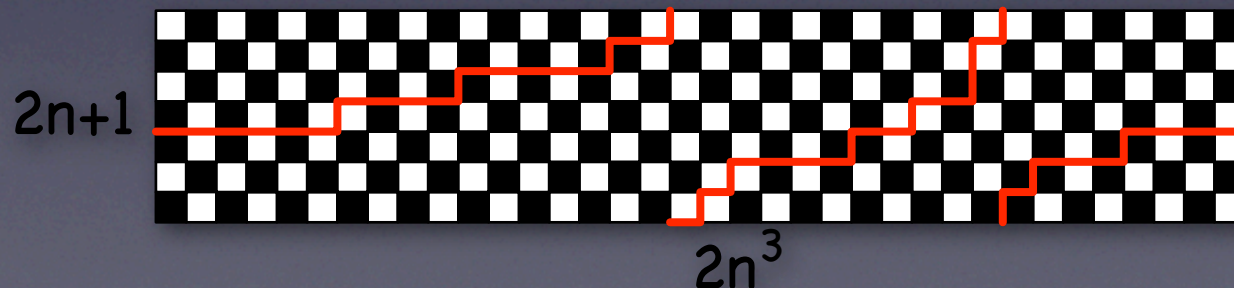
This sequence is followed with probability $1/N^{2N}$.

Convergence is almost sure

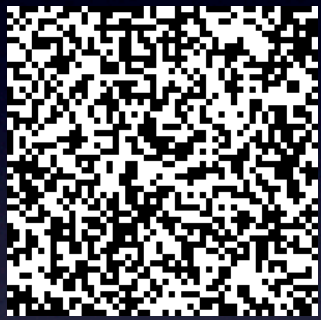
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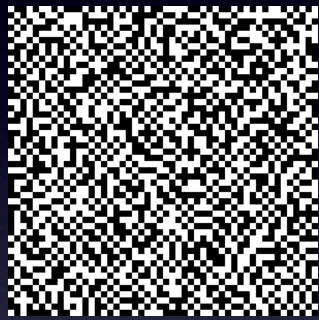
Conjecture. If one of n or m is odd, the convergence time can indeed be exponential.



Typical asynchronous 2D minority



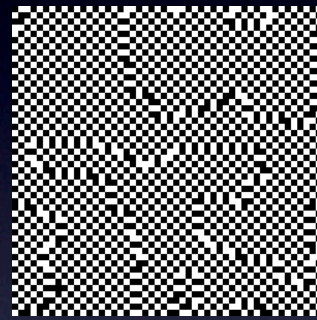
$t = 0$



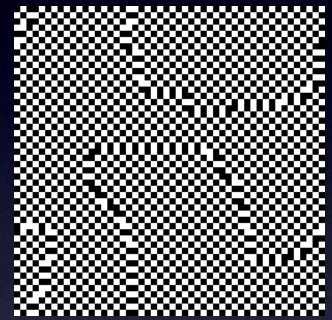
$t = N$



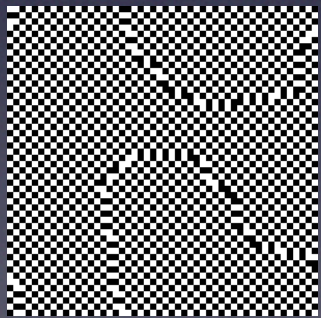
$t = 5N$



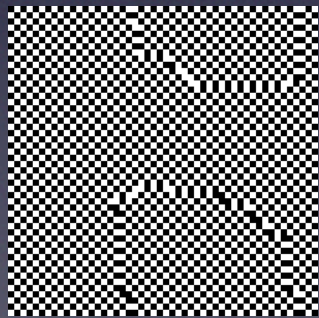
$t = 20N$



$t = 50N$



$t = 75N$



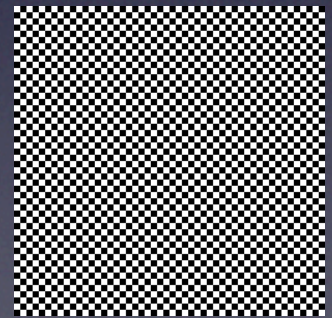
$t = 150N$



$t = 300N$



$t = 350N$

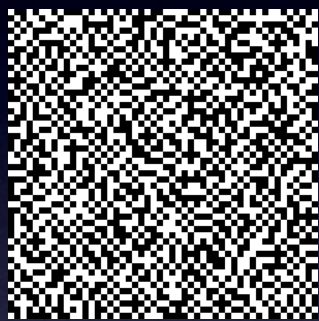


$t = 381N$

Typical asynchronous 2D minority



$t = 0$



$t = N$



$t = 5N$

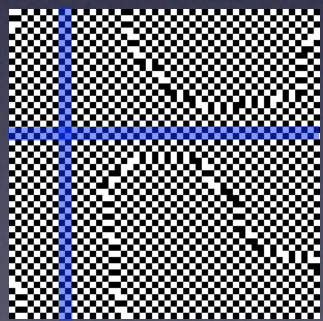


$t = 20N$

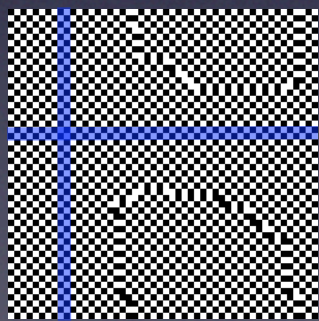


*Bounded
configuration*

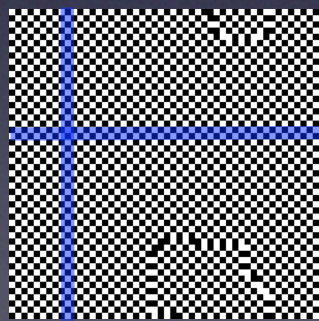
$t = 50N$



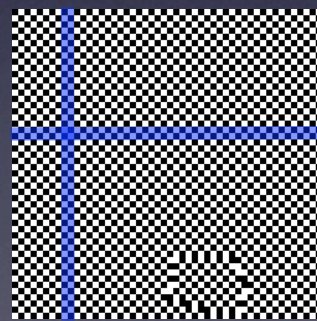
$t = 75N$



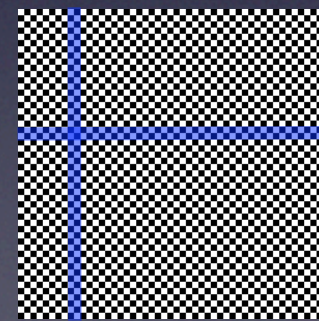
$t = 150N$



$t = 300N$



$t = 350N$

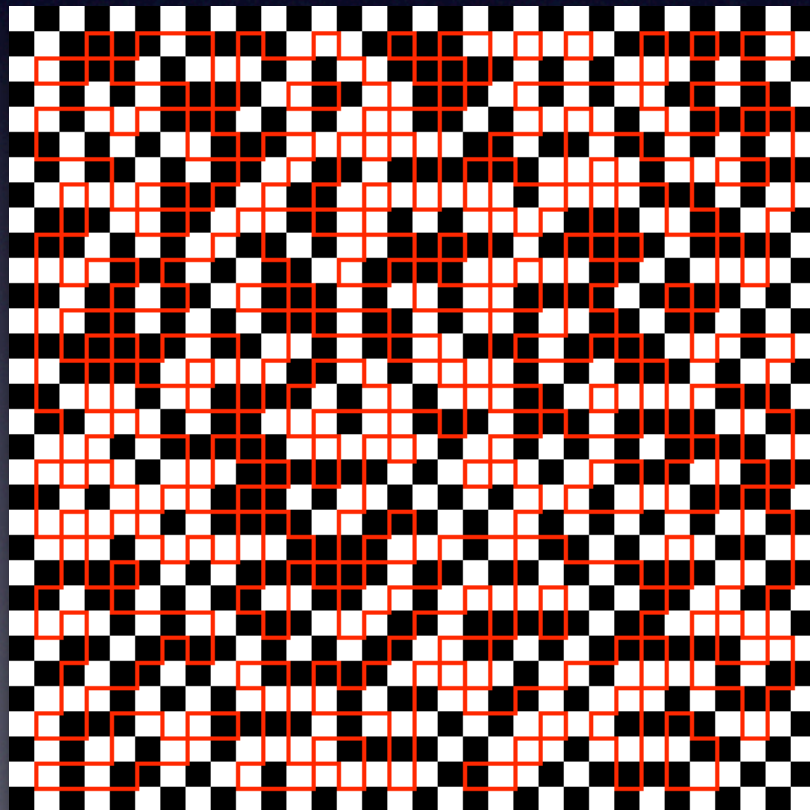


$t = 381N$

Polynomial convergence

(**conjecture.** It is true as soon as n and m are even)

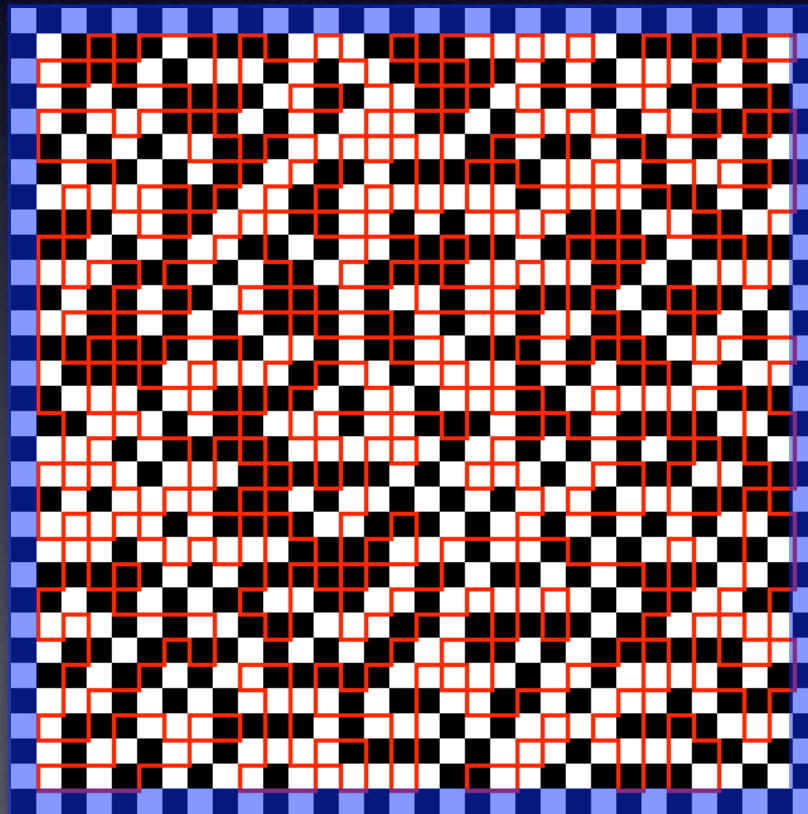
We study *bounded configuration*, which are surrounded by a checkerboard of width ≥ 2 :



Polynomial convergence

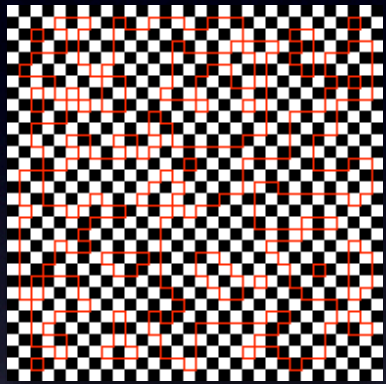
(**conjecture.** It is true as soon as n and m are even)

We study *bounded configuration*, which are surrounded by a checkerboard of width ≥ 2 :

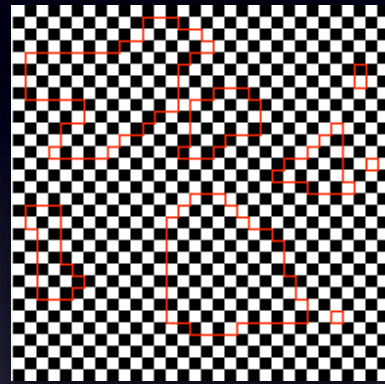


The
surrounding
checkerboard
is **stable**

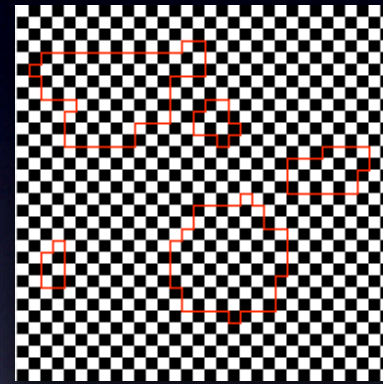
Typical evolution of a bounded configuration



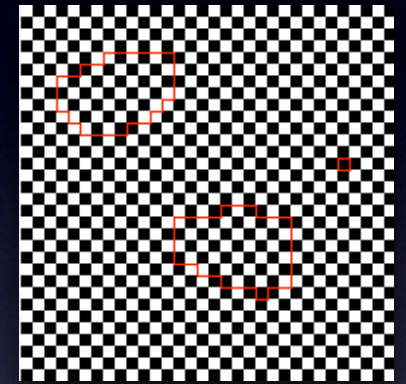
$t = N$



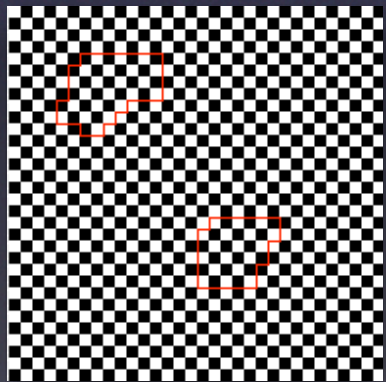
$t = 5N$



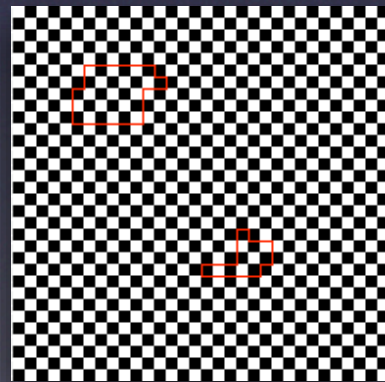
$t = 10N$



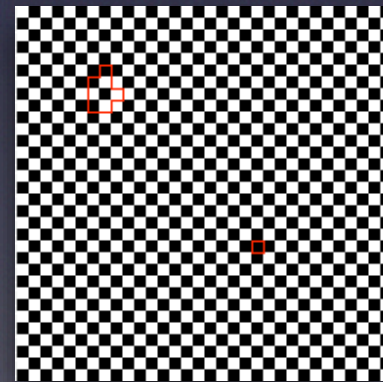
$t = 15N$



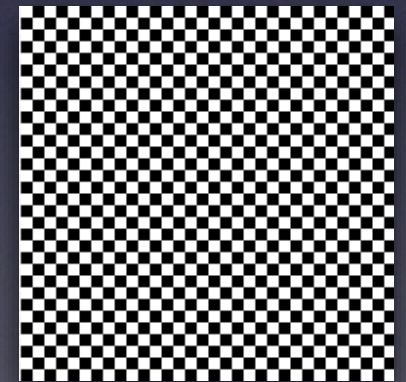
$t = 20N$



$t = 25N$

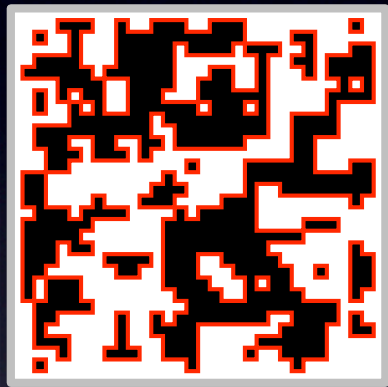


$t = 30N$

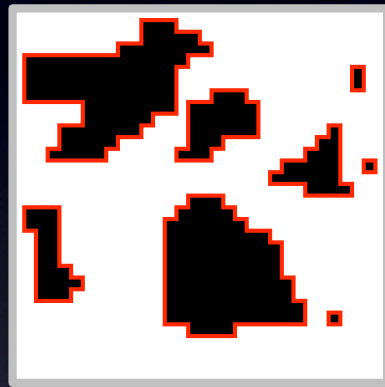


$t = 35N$

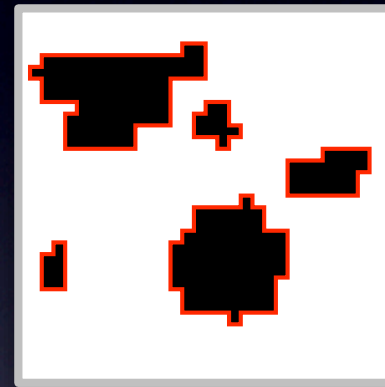
Dual evolution: Automaton **OT976**



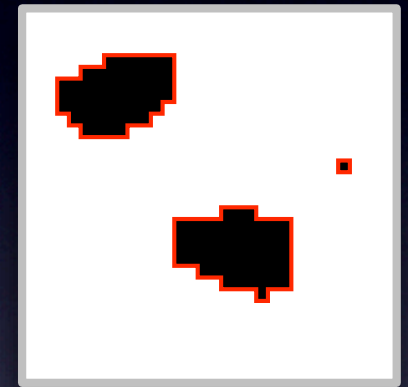
$t = N$



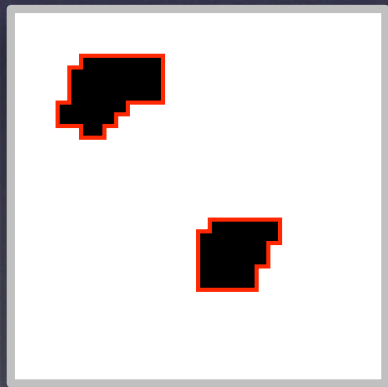
$t = 5N$



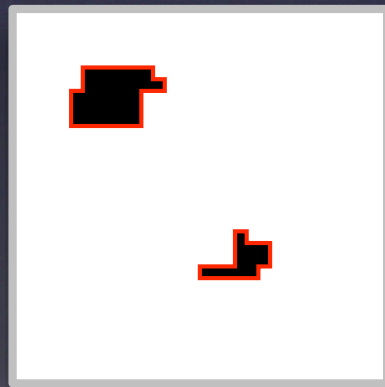
$t = 10N$



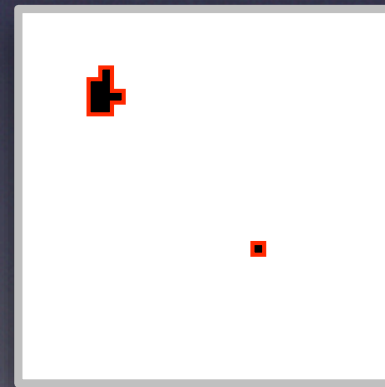
$t = 15N$



$t = 20N$



$t = 25N$



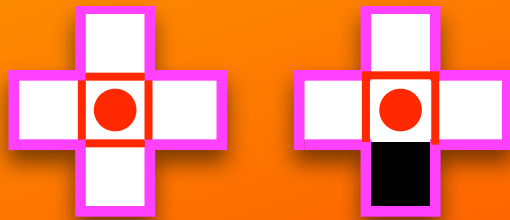
$t = 30N$



$t = 35N$

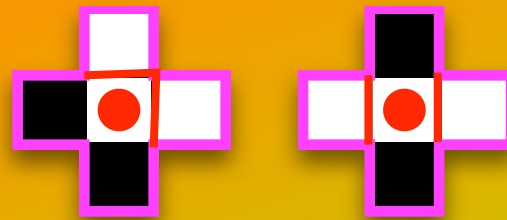
Rules of the primal and dual dynamics

Primal
(Minority)



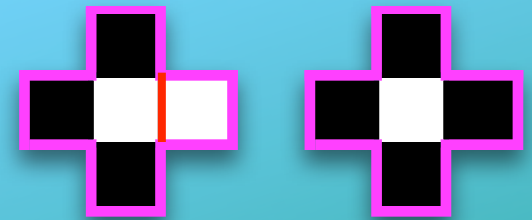
● Active
Irreversible

$$\Delta E = -8 \quad \Delta E = -4$$



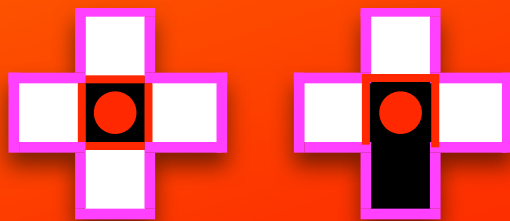
● Active
Reversible

$$\Delta E = 0$$

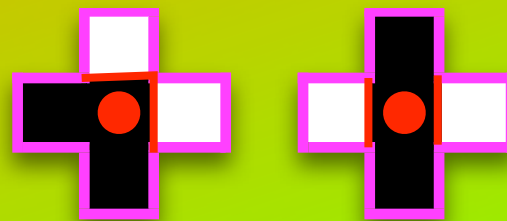


Inactive

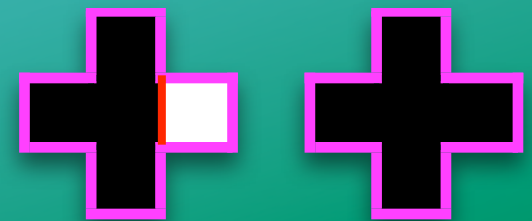
Dual
(Automaton
OT976)



Isolated Peninsula



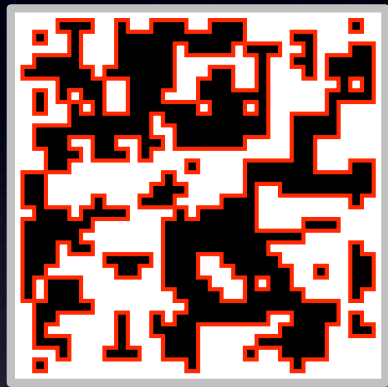
Corner Bridge



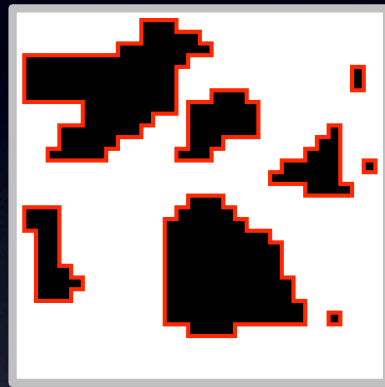
Border Surrounded

neighborhoods are considered with white/black symetries & rotations

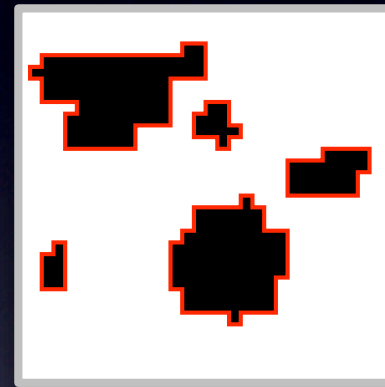
Coupling with the HV-convex hull



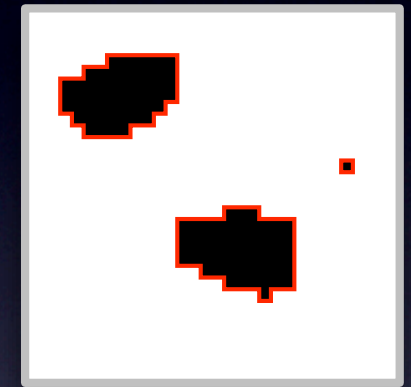
$t = N$



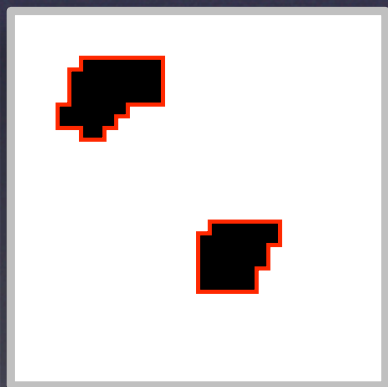
$t = 5N$



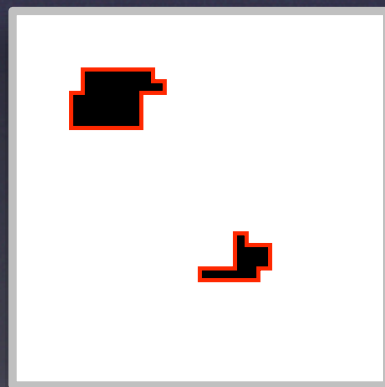
$t = 10N$



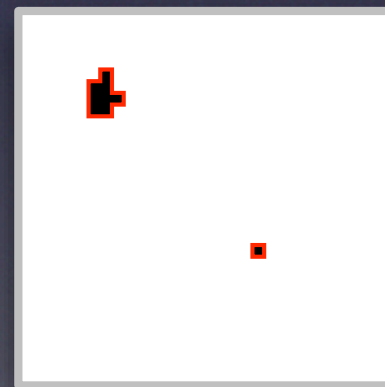
$t = 15N$



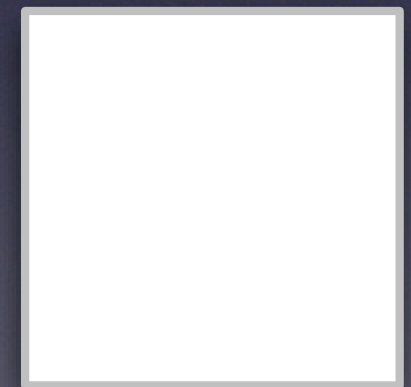
$t = 20N$



$t = 25N$

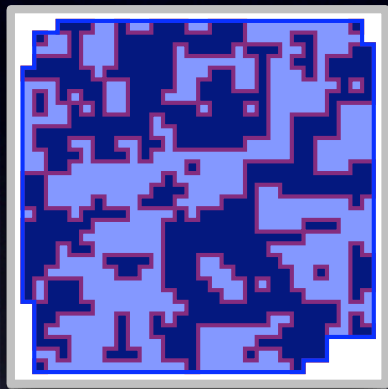


$t = 30N$

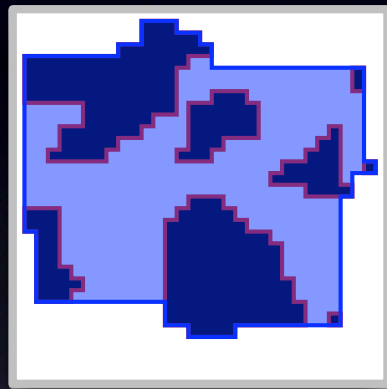


$t = 35N$

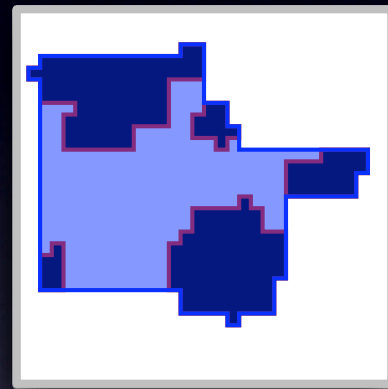
Coupling with the HV-convex hull



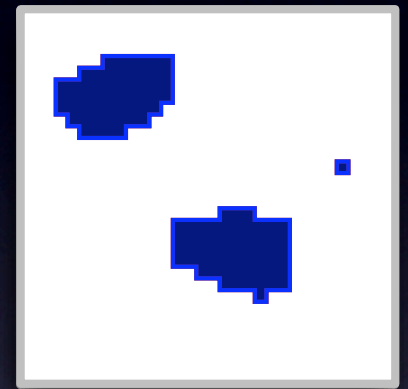
$t = N$



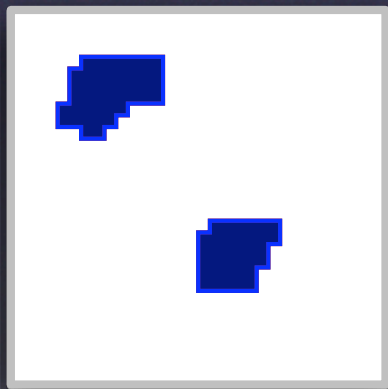
$t = 5N$



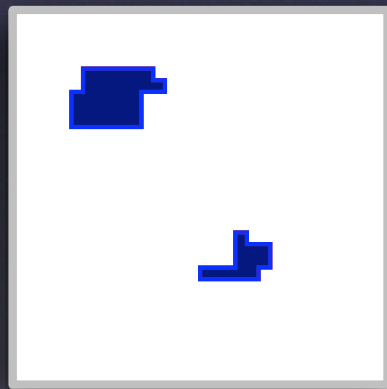
$t = 10N$



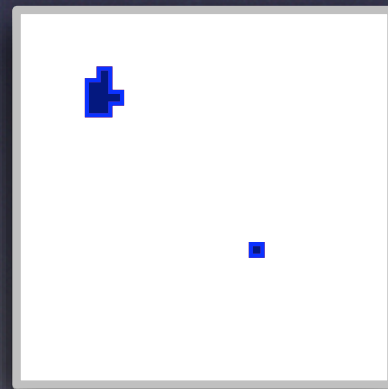
$t = 15N$



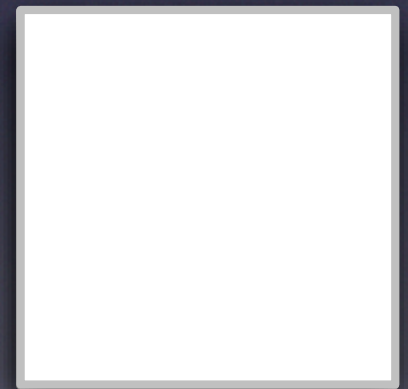
$t = 20N$



$t = 25N$



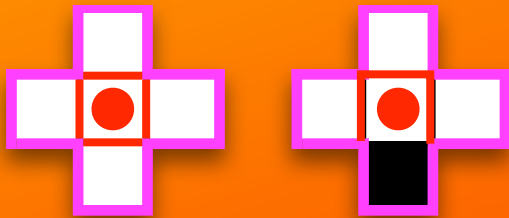
$t = 30N$



$t = 35N$

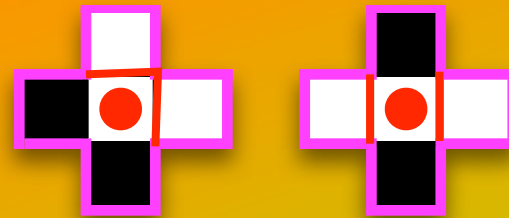
Rules of the hull dynamic

Primal
(Minority)



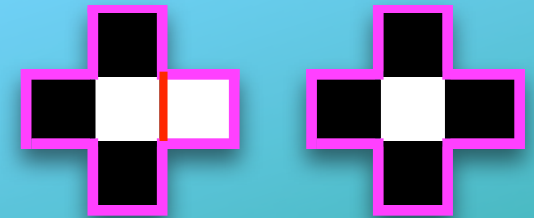
● Active
Irreversible

$\Delta E = -8$ $\Delta E = -4$

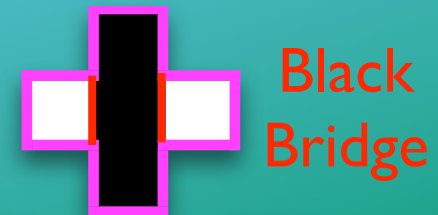


● Active
Reversible

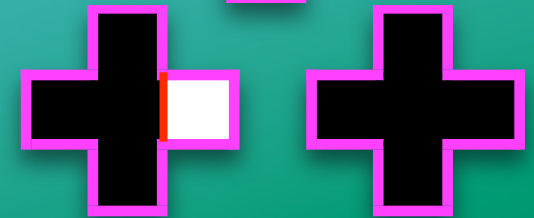
$\Delta E = 0$



Inactive

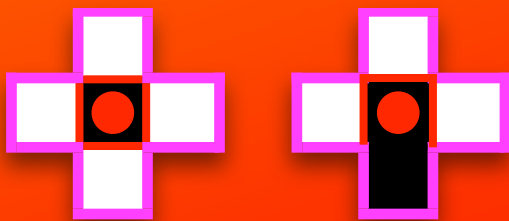


Black
Bridge

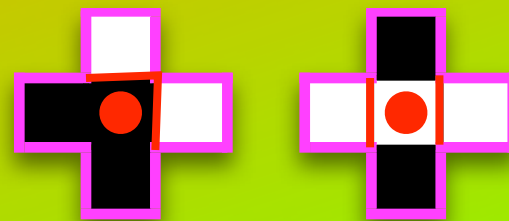


Border Surrounded

Hull
(OT976
modified to
preserve
black
convexity)



Isolated Peninsula



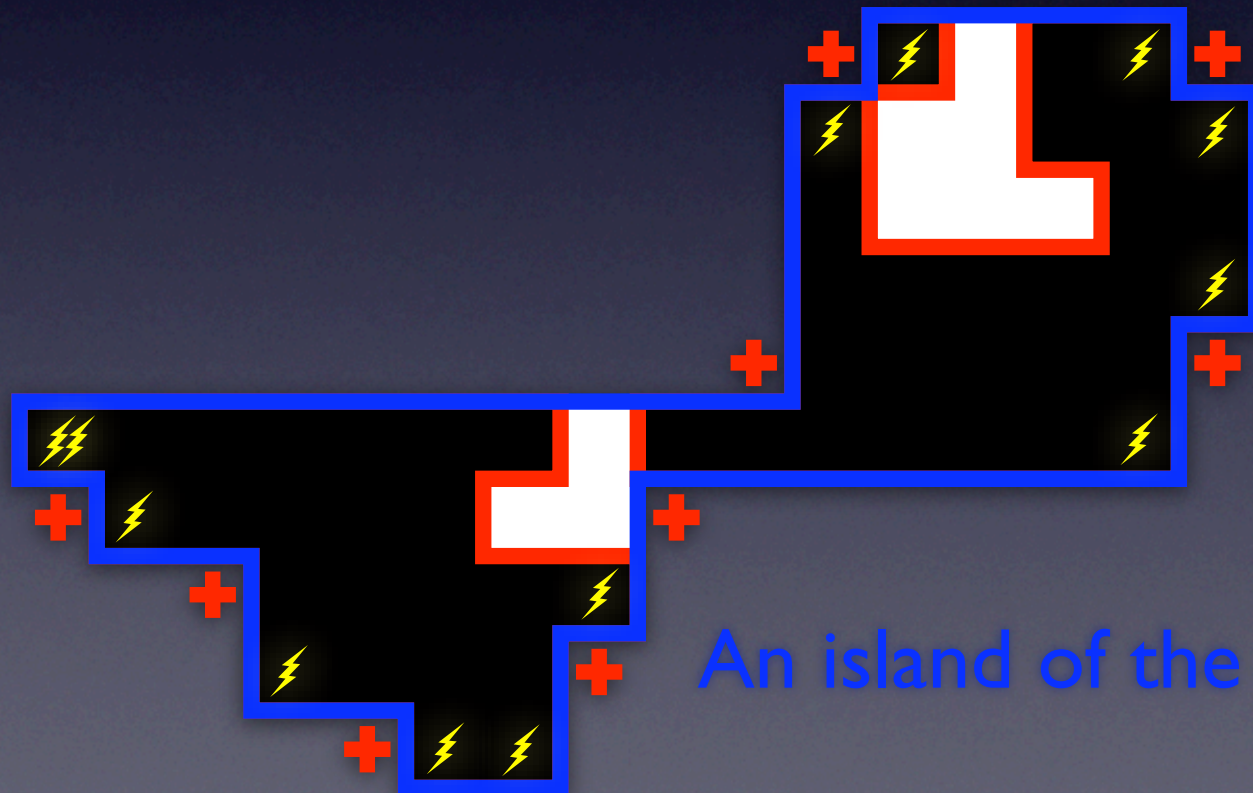
Corner White
Bridge

*neighborhoods are considered with white/black symmetries & rotations
(with exception of the bridge for the white/black symmetry)*

Convergence

Let $f = \# \text{black dual hull cells} + \text{Energy}/4$.

Lemma. For any island of the dual hull, $E[\Delta f] \leq -3/N$.



An island of the convex hull

Convergence

Let $f = \# \text{black dual cells} + \text{Energy}/4$.

Lemma. For any island, $E[\Delta f] \leq -3/N$.

Proposition. For all bounded configuration,

$$\begin{aligned} E[\Delta f] &\leq (2 \# \text{island contacts} - 3 \# \text{islands})/N \\ &\leq -\# \text{islands}/N. \end{aligned}$$

Theorem. Every bounded configuration **converges** to the checkerboard configuration in finite time a.s..
The expected convergence time is:

$$O(N \cdot \text{Initial area}).$$

Conclusion

- An **exponential upper bound** on the convergence time.
- **Polynomial convergence time for bounded configurations**
(can be extended to configuration with a checkerboard band of width ≥ 2)
- An useful **energy** function that defines proper statistical physics
- Similar results for the **Moore-Neighborhood**

Conjectures

- Phase transition at $\alpha_c \approx 0.83$.
- $$\alpha_c = \frac{\sqrt[3]{46 + \sqrt{6969}}}{6} - \frac{16}{3 \cdot \sqrt[3]{46 + \sqrt{6969}}} + \frac{2}{3}$$
- Some ideas to prove polynomial convergence time to checkerboard for $\alpha < 1/3$ but...
- Strongly depends on the underlying network (a lot of differences on trees...)
- Generalization to the class of threshold automata

Thank you