# Introduction to Expressivenes in Concurrency

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Frank D. Valencia CNRS-LIX Ecole Polytechnique Expressiveness

# Motivation: The Notion of Expressiveness

Is the model  $\mathcal{M}'$  as expressive as the model  $\mathcal{M}$ , written  $\mathcal{M}' \succeq \mathcal{M}$  ?

- In Automata Theory:  $\mathcal{M}' \succeq \mathcal{M}$  iff there exists a  $f : \mathcal{M} \to \mathcal{M}'$ s.t. for each  $M \in \mathcal{M}$ ,  $\mathcal{L}(f(M)) = \mathcal{L}(M)$ .
- E.g. TM ≻ PDS ≻ FSA and the Chomsky Hierarchy: UG ≻ CSG ≻ CFG ≻ RG
- The notion of expressiveness is well-understood and settled in automata theory.

## Motivation: Expressiveness in Process Calculi

Is the calculus  $\mathcal{C}'$  as expressive as the calculus  $\mathcal{C},$  written  $\mathcal{C}' \succeq \mathcal{C}$  ?

- In Concurrency Theory there is no yet an agreement upon expressiveness. In particular, there is no "Church-Turing Thesis" for Concurrency Theory.
- Intuitively C' ≥ C iff for all P ∈ C, there exists an encoding [[P]] ∈ C' of P satisfying some correcteness criteria–e.g, preservation of behavioral equivalence: P ~ [[P]].

# Motivation: Relevance of expressiveness studies

Many of the expressiveness studies Concurrency Theory resemble those for Logic, Formal Grammars, Distributed Computating. They involve:

- Identifying minimal set of operators for a given calculus. E.g., Is match/summation redundant in the  $\pi$ -calculus ?
- Identifying minimal terms forms for a given calculus. E.g., Is the asynchronous/monadic  $\pi$ -calculus as expressive as the synchronous/polyadic  $\pi$ -calculus ?
- Identifying meaningul decidable fragments of a given calculus. E.g., Is barbed equivalence decidable for CCS with replication ?
- Identifying problems a given calculus cannot solve. E.g., Can the asynchronous  $\pi$  calculus solve the leader election problem.
- Comparing conceptually different calculi. E.g., Can Ambients be encoded in the  $\pi\text{-calculus}$  ?

# Outline

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The  $\pi$ -calculus

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### The $\pi$ -calculus (fragment) given in previous lectures:

Syntax:

 $\begin{array}{rcl} P,Q & ::= & \mathbf{0} & \mbox{nil} \\ & P \mid \mid Q & \mbox{parallel composition of } P \mbox{ and } Q \\ \hline c\langle v \rangle.P & \mbox{output } v \mbox{ on channel } c \mbox{ and resume as } P \\ c(x).P & \mbox{ input from channel } c \\ (\nu x)P & \mbox{ new channel name creation} \\ P & \mbox{ replication} \end{array}$ 

Free names (alpha-conversion follows accordingly):

Sometimes we use  $P \mid Q$  and  $\overline{c}v.P$  for  $P \parallel Q$  and  $\overline{c}\langle v \rangle.P$ .

Notions/Notations

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## The $\pi$ -calculus

### Reduction relation

Structural congruence:

$$P \parallel 0 \equiv P \qquad P \parallel Q \equiv Q \parallel P$$

$$(P \parallel Q) \parallel R \equiv P \parallel (Q \parallel R) \qquad !P \equiv P \parallel !P$$

$$(\nu x)(\nu y)P \equiv (\nu y)(\nu x)P$$

$$P \parallel (\nu x)Q \equiv (\nu x)(P \parallel Q) \text{ if } x \notin \text{fn}(P)$$

Reduction rules:

React 
$$\overline{c}\langle v \rangle . P \parallel c(x) . Q \longrightarrow P \parallel Q\{v_x\}$$

$$\Pr_{\text{PAR}} \frac{P \longrightarrow P'}{P \mid\mid Q \longrightarrow P' \mid\mid Q} \quad \text{res} \quad \frac{P \longrightarrow P'}{(\nu x)P \longrightarrow (\nu x)P'} \quad \frac{P \equiv P' \longrightarrow Q' \equiv Q}{P \longrightarrow Q}$$

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# The $\pi$ -calculus

## Early Transitions

$$\begin{array}{cccc} \text{Out} & \overline{xy}, P \xrightarrow{\overline{xy}} P & \text{Inp} & \overline{x(z)}, P \xrightarrow{xy} P\{y|z\} \\ \text{Comm-L} & \frac{P \xrightarrow{\overline{xy}} P' & Q \xrightarrow{xy} Q'}{P \mid Q \xrightarrow{\tau} P' \mid Q'} & \text{Par-L} & \frac{P \xrightarrow{\alpha} P'}{P \mid Q \xrightarrow{\alpha} P' \mid Q} & \text{bn}(\alpha) \cap \text{fn}(Q) = \emptyset \\ & \text{Close-L} & \frac{P \xrightarrow{\overline{x}(z)}}{P \mid Q \xrightarrow{\tau} \nu z (P' \mid Q')} & z \notin \text{fn}(Q) \\ \text{Res} & \frac{P \xrightarrow{\alpha} P'}{\nu z P \xrightarrow{\alpha} \nu z P'} & z \notin \text{n}(\alpha) & \text{Open} & \frac{P \xrightarrow{\overline{x}z} P'}{\nu z P \xrightarrow{\overline{x}(z)} P'} & z \neq x \\ \text{Rep-ACT} & \frac{P \xrightarrow{\alpha} P'}{!P \xrightarrow{\alpha} P' \mid !P} & \text{Rep-Comm} & \frac{P \xrightarrow{\overline{x}y} P' & P \xrightarrow{xy} P''}{!P \xrightarrow{\tau} (P' \mid P'') \mid !P} \\ \text{Rep-Close} & \frac{P \xrightarrow{\overline{x}(z)} P' & P \xrightarrow{xz} P''}{!P \xrightarrow{\tau} (\nu z (P' \mid P'')) \mid !P} & z \notin \text{fn}(P) \end{array}$$

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## Barbed Equivalences

Recall that  $P \downarrow_{\mu} (\mu \in \{x, \bar{x}\})$  iff  $\exists \vec{z}, y, Q, R$  such that  $x \notin \vec{z}$  and  $P \equiv (\nu \vec{z})(\pi.Q \parallel R)$  and  $\pi = x(y)$  if  $\mu = x$  else  $\pi = \overline{x}\langle y \rangle$ . Also  $P \downarrow_{\mu}$  iff  $\exists Q, P \longrightarrow^{*} Q$  and  $Q \downarrow_{\mu}$ .

### Definition (Barbed Bisimilarity)

R is a barbed simulation iff for every (P, Q) ∈ R:
 If P → P' then ∃Q': Q →\* Q' ∧ (P', Q') ∈ R.
 If P ↓<sub>µ</sub> then Q ↓<sub>µ</sub>.
 (2) (Barbed Bisimilarity) P ≈ Q iff there is R such that R and R<sup>-1</sup> are barbed simulations and (P, Q) ∈ R.
 (3) (Barbed Congruence) P ≅<sup>c</sup> Q iff K[P] ≈ K[Q] for every K.

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# (Early) Bisimulation Equivalences

### Definition (Bisimilarity)

(1) *R* is a *(strong) simulation* iff for every  $(P, Q) \in R$ :

- If  $P \xrightarrow{\alpha} P'$  then  $\exists Q': Q \xrightarrow{\alpha} Q' \land (P', Q') \in R$ .

(2) (Strong Bisimilarity)  $P \sim Q$  iff there is R such that R and  $R^{-1}$  are simulations and  $(P, Q) \in R$ .

(3) (Strong Full Bisimilarity)  $P \sim^{c} Q$  iff  $P\sigma \sim Q\sigma$  for every substitution  $\sigma$ .

The weak versions  $\approx$  and  $\approx^{c}$  are obtained by replacing  $Q \xrightarrow{\alpha} Q'$ with  $Q \xrightarrow{\hat{\alpha}} Q'$  where  $\xrightarrow{\hat{\alpha}}$  is  $\xrightarrow{\tau} \xrightarrow{*} \xrightarrow{\alpha} \xrightarrow{\tau} \xrightarrow{*}$  if  $\alpha \neq \tau$ , and  $\xrightarrow{\tau} \xrightarrow{*}$  otherwise.

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# Encodings

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## Encoding

An encoding  $\llbracket \cdot \rrbracket : \mathcal{C} \to \mathcal{C}'$  is a map from  $\mathcal{C}$  to  $\mathcal{C}'$ . The encoding of  $P \in \mathcal{C}$  is denoted as  $\llbracket P \rrbracket$ .

#### Introduction Not

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Encodings:  $\llbracket \cdot \rrbracket : \pi^2 \to \pi$ 

Recall the encoding of the bi-adic  $\pi$ -calculus ( $\pi^2$ ) into  $\pi$ .

#### Example

[Milner 91] The encoding  $\llbracket \cdot \rrbracket : \pi^2 \to \pi$  is defined as

$$\begin{bmatrix} \overline{x} \langle z_1, z_2 \rangle . P \end{bmatrix} = (\nu w) \overline{x} \langle w \rangle . \overline{w} \langle z_1 \rangle . \overline{w} \langle z_2 \rangle . \llbracket P \rrbracket \\ \begin{bmatrix} x(y_1, y_2) . Q \end{bmatrix} = x(w) . w(y_1) . w(y_2) . \llbracket Q \rrbracket$$

 $\llbracket \cdot \rrbracket : \pi^2 \to \pi$  is a homomorphism for the other cases.

- In what sense is  $\llbracket \cdot \rrbracket : \pi^2 \to \pi$  correct ?
- Question: How about the encoding from asynchronous  $\pi$  ( $A\pi$ ) into  $\pi$  ?

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Encodings: 
$$\llbracket \cdot \rrbracket : \pi \to A\pi$$

### Definition (Synchronous into asynchronous)

[Boudol 92] The encoding  $\llbracket \cdot \rrbracket : \pi \to A\pi$  is defined as

$$\begin{bmatrix} \overline{x} \langle z \rangle . P \end{bmatrix} = (\nu w) (\overline{x} \langle w \rangle \parallel w(u) . (\overline{u} \langle z \rangle \parallel \llbracket P \rrbracket)) \\ \llbracket x(y) . Q \end{bmatrix} = x(w) . (\nu u) (\overline{w} \langle u \rangle \parallel u(y) . \llbracket Q \rrbracket)$$

 $\llbracket \cdot \rrbracket : A\pi \to \pi$  is a homomorphism for the other cases.

• How about using a protocol of two exchanges only ?

#### Two steps protocol

[Honda-Tokoro 92]. The encoding  $\llbracket \cdot \rrbracket : \pi \to A\pi$  is defined as

$$\begin{bmatrix} \overline{x} \langle z \rangle . P \end{bmatrix} = x(w) . (\overline{w} \langle z \rangle \parallel \llbracket P \rrbracket) \\ \llbracket x(y) . Q \rrbracket = (\nu w) (\overline{x} \langle w \rangle \parallel w(y) . \llbracket Q \rrbracket)$$

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Encodings:  $\llbracket \cdot \rrbracket : K\pi \to \pi$ 

- $K\pi$  extends  $\pi$  with finitely many paremetric recursive definitions:  $P := \ldots | K \langle \vec{z} \rangle$
- Each  $K\langle \vec{z} \rangle$  has a unique  $K(\vec{y}) \stackrel{\text{def}}{=} P$  with  $|\vec{z}| = |\vec{y}|$  .
- Transition rule: (Cons)  $K\langle \vec{z} \rangle \xrightarrow{\tau} P\{\vec{z}/\vec{y}\}$  if  $K(\vec{y}) \stackrel{\text{def}}{=} P$ .
- Let  $K^1\pi$  be  $K\pi$  but with a single monadic definition.

## Definition (Encoding of $K^1\pi$ )

[Milner 91] The encoding  $\llbracket \cdot \rrbracket : \mathcal{K}^1 \pi \to \pi$  is defined as  $\llbracket P \rrbracket = (\nu k)(\llbracket P \rrbracket_0 \parallel \llbracket \mathcal{K}(y) \stackrel{\text{def}}{=} P \rrbracket_0)$  where

$$\llbracket K\langle z \rangle \rrbracket_0 = \overline{k} \langle z \rangle \llbracket K(y) \stackrel{\text{def}}{=} P \rrbracket_0 = !k(w) . \llbracket P \rrbracket_0$$

 $\llbracket \cdot 
rbracket_0$  is a homomorphism for the other cases.

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# Expressiveness Criteria

### Correctness Criteria

In what sense are the above encodings "correct" ?

The most commonly used criteria/requirenment for correctness of the encodings are:

- Preservation of Behavioral Equivalence.
- Preservation of Observations.
- Operational Correspondence.
- Full Abstraction.
- Structural Requirements: Compositionality and Homomorphisms.

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# Expressiveness Criteria: Preservation of Equivalence

### Semantic Preservation wrt $\bowtie$

- $\forall P \in \mathcal{C}$ , we must have  $\llbracket P \rrbracket \bowtie P$ .
  - Typically  $\bowtie$  is some bisimilarity relation.
  - Natural and it could be a very strong correspondence depending on the chosen ⋈.
  - But it presupposes that the source and taget calculi are equipped with ⋈.
  - $\llbracket \cdot \rrbracket : \pi^2 \to \pi$  satisfies the above with  $\bowtie = \stackrel{:}{\approx}$  but not for  $\bowtie = \cong^{c}$ .
  - $\llbracket \cdot \rrbracket : K^1 \pi \to \pi$  satisfies the above with  $\bowtie = \cong^{\mathsf{c}}$ .

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# Expressiveness Criteria: Preservation of Observables

### Preservation of Observations

 $\forall P \in \mathcal{C}$ , we must have  $obs(\llbracket P \rrbracket) = obs(P)$ .

Here obs(.) denotes a set of observations than can be made of processes in  $\mathcal{C}\cup\mathcal{C}'$ : Typically barbs, traces, divergence, test, failures.

- Observations such as barbs and traces are not enough to capture process behaviour.
- Failures are often enough.
- $\left[\!\left[\cdot\right]\!\right]:\pi^2\to\!\pi$  satisfies the above for barbs but not for tests.
- $\llbracket \cdot \rrbracket : K^1 \pi \to \pi$  satisfies the above for barbs and tests.

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# Expressiveness Criteria: Operational Correspondence

### Operational correspondence

$$\begin{array}{l} \forall P, Q \in \mathcal{C}, \text{ (a) If } P \longrightarrow Q \text{ then } \llbracket P \rrbracket \longrightarrow^* \bowtie \ \llbracket Q \rrbracket \text{ and} \\ \text{(b) } \forall R \text{ if } \llbracket P \rrbracket \longrightarrow R \text{ then } \exists R' \text{ s.t. } P \longrightarrow R' \text{ and } R \bowtie \ \llbracket R' \rrbracket. \end{array}$$

- (a) Preservation of reduction steps (Soundness).
- (b) Reflexion of reduction steps (Completeness).
- It conveys the notion of operational simulation.
- Significant aspects are not covered (e.g., some observables)
- $[\![\cdot]\!]:\pi^2\to\!\!\pi$  satisfies the above for  $\bowtie=\ \cong^{\mathsf{c}}$  .
- $[\![\cdot]\!]: {\cal K}^1\pi \to \pi$  satisfies the above for  $\bowtie = \cong^{\sf c}$  and for label transitions.

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# Expressiveness Criteria: Full Abstraction

## **Full Abstraction**

 $\forall P, Q \in \mathcal{C}, P \bowtie_{\mathcal{C}} Q \text{ if and only if } \llbracket P \rrbracket \bowtie_{\mathcal{C}'} \llbracket Q \rrbracket.$ 

I.e. equivalent processes are mapped into equivalent processes.

- If Direction: Soundness.
- Only-If Direction: Completeness.
- Useful when [P] and P cannot be compared directly.
- Completeness could be too demanding if  $\bowtie$  is a congruence.
- $\llbracket \cdot \rrbracket : \pi^2 \to \pi$  is fully abstract sound but not complete for  $\bowtie = \cong^{\mathsf{c}}$ .
- $\llbracket \cdot \rrbracket : K^1 \pi \to \pi$  is fully abstract  $\bowtie = \ \cong^{\mathsf{c}}$  .

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# Expressiveness Criteria: Weak Full Abstraction

### Weak Full Abstraction

 $\begin{array}{l} \forall P, Q \in \mathcal{C}, \\ K[P] \bowtie_{\mathcal{C}} K[Q] \text{ for all } \mathcal{C}\text{-context } K \\ \text{ if and only if} \\ \llbracket K \rrbracket \llbracket P \rrbracket ] \bowtie_{\mathcal{C}'} \llbracket K \rrbracket \llbracket Q \rrbracket ] \text{ for all } \mathcal{C}\text{-context } K. \end{array}$ 

Here  $\bowtie$  is typically a non-congruence like barbed bisimulation, trace equivalence, etc.

- Completeness wrt "encoded contexts".
- $\llbracket \cdot \rrbracket : \pi^2 \to \pi$  is weakly fully abstract for  $\bowtie = \dot{\approx}$  .

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# Expressiveness Criteria: Compositionality

## Compositionality and Homomorphism

(1) The encoding  $\llbracket \cdot \rrbracket : \mathcal{C} \to \mathcal{C}'$  is compositional wrt an *n*-ary operator *op* if and only if there exists a  $\mathcal{C}'$ -context K with *n*-holes such that  $\llbracket op(P_1, \ldots, P_n) \rrbracket = K[\llbracket P_1 \rrbracket, \ldots, \llbracket P_n \rrbracket]$ . (2)  $\llbracket \cdot \rrbracket : \mathcal{C} \to \mathcal{C}'$  is weakly compositional iff  $\exists K, \forall P \llbracket P \rrbracket = K[\llbracket P \rrbracket']$  where  $\llbracket \cdot \rrbracket'$  is compositional. (3)  $\llbracket \cdot \rrbracket : \mathcal{C} \to \mathcal{C}'$  is homomorphic wrt an *n*-ary operator *op* in  $\mathcal{C}$  if and only if  $\llbracket op(P_1, \ldots, P_n) \rrbracket = op(\llbracket P_1 \rrbracket, \ldots, \llbracket P_n \rrbracket)$ .

- Homomorphism is sometimes required for the parallel operator:  $\llbracket P \mid Q \rrbracket = \llbracket P \rrbracket \mid \llbracket Q \rrbracket.$
- Compositionality and its weak version are often required.
- $\llbracket \cdot \rrbracket : \pi^2 \to \pi$  is compositional for all the operators.
- $\llbracket \cdot \rrbracket : \mathcal{K}^1 \pi \to \pi$  is not compositional but weakly compositional.

Recursion vs Replication in  $\pi$ Polyadicity vs Monadicity in  $\pi$ Computional Expressiveness in Process Calculi Linearity vs Persistence in  $A\pi$ 

Correctness of  $\llbracket \cdot \rrbracket : K^1 \pi \to \pi$ .

Let  $\llbracket \cdot \rrbracket : \mathcal{K}^1 \pi \to \pi$  be the encoding from  $\mathcal{K}\pi$  with a single monadic recursive definitions into  $\pi$ .

Theorem (Operational Correspondence)

(1) If  $P \xrightarrow{\alpha} Q$  then  $\llbracket P \rrbracket \xrightarrow{\alpha} \sim \llbracket Q \rrbracket$ (2) If  $\llbracket P \rrbracket \xrightarrow{\alpha} R$  then  $\exists Q P \longrightarrow Q$  and  $R \sim \llbracket Q \rrbracket$ .

#### Proof.

(1) and (2) proceed by induction on the inference and on the size of processes using the Replication Theorem.

## Theorem (Replication Theorem (Sangiorgi's Book))

If x occurs in  $P_i$   $(i \in I)$  and R only in output subject position then  $(\nu x)(\prod_{i \in I} P_i ||!x(y).R) \sim^{c} \prod_{i \in I} (\nu x)(P_i ||!x(y).R).$ 

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Correctness of 
$$\llbracket \cdot \rrbracket : K^1 \pi \to \pi$$
.

### Theorem (Semantic Preservation wrt $\sim^{c}$ )

 $P \sim^{\mathsf{c}} \llbracket P \rrbracket$ 

#### Proof.

Verify that  $\mathcal{R} = \{(P, \llbracket P \rrbracket)\}$  is a bisimulation up-to  $\sim$  using the Operational Correspondence. Also  $\mathcal{R}$  is closed under substitutions.

### Theorem (Full Abstraction)

 $P \cong^{\mathsf{c}} Q \text{ iff } \llbracket P \rrbracket \cong^{\mathsf{c}} \llbracket Q \rrbracket.$ 

#### Proof.

Since  $\sim^{\mathsf{c}} = \cong^{\mathsf{c}}$  and the Semantic preservation wrt  $\sim^{\mathsf{c}}$ .

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# Correctness of $\llbracket \cdot \rrbracket : \pi^2 \to \pi$ .

Let  $\llbracket \cdot \rrbracket : \pi^2 \to \pi$  be the encoding from bi-adic  $\pi$  to  $\pi$ .

### Theorem (Operational Correspondence)

(1) if  $P \longrightarrow Q$  then  $\llbracket P \rrbracket \longrightarrow^* \llbracket Q \rrbracket$  and (2) If  $\llbracket P \rrbracket \longrightarrow R$  then  $\exists Q; P \longrightarrow Q$  and  $R \cong^c \llbracket Q \rrbracket$ .

The proof of (1) is by induction on the inference. The proof (2) is rather involved because arbitrary application of  $\equiv$  in  $\llbracket P \rrbracket \longrightarrow R$ .

Theorem (preservation of barbs)

 $P\downarrow_{\mu} iff \llbracket P \rrbracket \downarrow_{\mu}$ 

Theorem (Semantic preservation wrt  $\stackrel{.}{\approx}$  )

 $\llbracket P \rrbracket \stackrel{\cdot}{\approx} P.$ 

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Correctness of 
$$\llbracket \cdot \rrbracket : \pi^2 \to \pi$$
.

## Corollary (Soundness)

If 
$$\llbracket P \rrbracket \cong^{\mathsf{c}} \llbracket Q \rrbracket$$
 then  $P \cong^{\mathsf{c}} Q$ .

### Proof.

From the homomorphic definition of  $\llbracket \cdot \rrbracket$  and the preservation of  $\approx$ .  $\mathcal{K}[P] \approx \llbracket \mathcal{K}[P] \rrbracket = \llbracket \mathcal{K} \rrbracket \llbracket \llbracket P \rrbracket ] \approx \llbracket \mathcal{K} \rrbracket \llbracket \llbracket \mathcal{Q} \rrbracket ] = \llbracket \mathcal{K}[Q] \rrbracket \approx \mathcal{C}[Q] \square$ 

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If \llbracket P \rrbracket \cong^{\mathsf{c}} \llbracket Q \rrbracket then P \cong^{\mathsf{c}} Q.
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Correctness of  $\llbracket \cdot \rrbracket : \pi^2 \to \pi$ .

### Exercises :

- Show that the encoding is not complete. I.e., P ≅<sup>c</sup> Q does not imply [[P]] ≅<sup>c</sup> [[Q]].
- Are the encodings [[·]] : Aπ → π by Boudol and Honda complete wrt ≅<sup>c</sup> ? If not, prove it.
- Define a weakly compositional encoding [[·]]: Kπ → π which is sound wrt ≅<sup>c</sup> ? Is your encoding complete ≅<sup>c</sup> ? If not, argue why.

Open Question: Is there a compositional encoding  $\llbracket \cdot \rrbracket : \pi^2 \to \pi$  fully-abstract wrt  $\cong^c$ .

## Trios

A trios process is a polyadic  $\pi$  process whose prefixes are of the form  $\pi'.\pi.\pi''.0$ . Trios processes can encode arbitrary polyadic  $\pi$  processes [Parrow'01].

Exercise Give an encoding  $\llbracket \cdot \rrbracket$  from  $\pi^0$  processes into  $\pi^0$  trios processes. Argue that  $\llbracket P \rrbracket \approx P$ .

### Replication vs Recursion in CCS

Notice that  $\pi^0$  is CCS with replication instead of recursive definitions  $CCS_!$ .

• Is CCS<sub>1</sub> as expressive as CCS? We shall conclude this section we a survey on these kind of Recursion vs Replication results.

References

Recursion vs Replication in  $\pi$ Polyadicity vs Monadicity in  $\pi$ Computional Expressiveness in Process Calculi Linearity vs Persistence in  $A\pi$ 

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## Computational Expressiveness of CCS

Language of a process :  $L(P) = \{ s \in \mathcal{L}^* | \exists Q : P \xrightarrow{s} Q \land \forall \alpha \in Act : Q \not\xrightarrow{\alpha} \}.$ 

#### Theorem (CCS can generate CFL)

For any context-free grammar G, there exists a CCS process  $P_G$  such that  $s \in L(G)$  iff  $s \in L(P_G)$ .

### Proof.

Hint: Consider productions in Chomsky Normal form:  $A \rightarrow B.C$  or  $A \rightarrow a$ . For the case B.C provide a definition  $A(\ldots) \stackrel{\text{def}}{=} \ldots$  which allows for the sequentialization of B and C.

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# Computational Expressiveness of CCS

#### Theorem

CCS is Turing-Expressive.

This can be shown by encoding Minsky Machines.

Minsky's Two-Counter Machines

Sequence of labelled instructions on two counters  $c_0$  and  $c_1$ :

$$\begin{array}{rcl} L_i & : & \text{halt} \\ L_i & : & c_n := c_n + 1; \text{goto } L_j \\ L_i & : & \text{if } c_n = 0 \text{ then goto } L_i \text{ else } c_n := c_n - 1; \text{ goto } L_k \end{array}$$

The machine: 1) starts at  $L_1$ , 2) halts if control reaches the location of a halt instruction and 3) computes the value n if it halts with  $c_0 = n$ .

Recursion vs Replication in  $\pi$ Polyadicity vs Monadicity in  $\pi$ Computional Expressiveness in Process Calculi Linearity vs Persistence in  $A\pi$ 

# Computational Expressiveness of CCS

## Definition (A Counter C)

$$C \stackrel{\texttt{def}}{=} isz.C + inc.(\nu I)(C'\langle I \rangle \parallel I.C)$$

$$C'(I) \stackrel{\text{def}}{=} dec.\overline{I}.0 + inc.(\nu I')(C'\langle I'\rangle \parallel I'.C'\langle I\rangle)$$

For counters X and Y replace C with X, resp Y, and *isz*, *inc*, *dec* with iszX, *incX*, *decX*, resp iszY, *incY*, *decY*.

Instructions are represented as processes waiting for an input on its label.

#### Example

 $\begin{array}{l} L_2: \text{if } X=0 \text{ then goto } L_4 \text{ else goto } L_8 \text{ and } L_4: \textit{halt can be} \\ \text{represented as } L_2 \stackrel{\text{def}}{=} l_2.(\overline{\textit{iszX}}.\overline{l}_4.L_2 + \overline{\textit{decX}}.\overline{\textit{incX}}.\overline{l}_8.L_2) \text{ and} \\ L_4 \stackrel{\text{def}}{=} l_4.\overline{\textit{halt}} \end{array}. \end{array}$ 

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# Computational Expressiveness of CCS

## Definition (A program M)

A program  $M(X, Y) = L_1 : I_1; ...; L_n : I_n$  can be encoded as

$$\llbracket M(X, Y) \rrbracket = (\nu I_1 \dots I_n) (\bar{I}_1 \dots \parallel L_1 \parallel \dots \parallel L_n \parallel X \parallel Y)$$

The correctness is stated as follows:

Theorem (Correctness)

M(X, Y) computes n on X if and only if

 $(\llbracket M \rrbracket \parallel halt.Dec_n) \Downarrow_{\overline{yes}}$ 

where for n > 0,  $Dec_n = \overline{decX}$ .  $Dec_{n-1}$  and  $Dec_0 = \overline{iszX}$ .  $\overline{yes}$ 

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Computational Expressiveness of CCS  $\pi^0 = CCS_1$ 

## Theorem ( $\pi^0$ can generate REG)

Given a regular expression e, there exists a CCS! process  $P_e$  such that  $s \in L(e)$  iff  $s \in L(P_e)$ .

Exercise. Write a CCS! process P such that  $L(P) = a^*c$ .

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# Computational Expressiveness of CCS $\pi^0 = CCS_!$

### Theorem ( $\pi^0$ can generate REG)

Given a regular expression e, there exists a CCS process  $P_e$  such that  $s \in L(e)$  iff  $s \in L(P_e)$ .

#### Proof.

Fran

**Definition 4.** Given a regular expression e, we define [e] as the CCS<sub>1</sub> process  $(\nu m)$   $([e]]_m \mid m)$  where  $[e]]_m$ , with  $m \notin fn([e])$ , is inductively defined as follows:

$$\begin{split} \llbracket \emptyset \rrbracket_{m} &= & DIV \\ \llbracket e \rrbracket_{m} &= & \overline{m} \\ \llbracket a \rrbracket_{m} &= & a.\overline{m} \\ \llbracket a \rrbracket_{m} &= & a.\overline{m} \\ \llbracket e_{1} \rrbracket_{m} &= & a.\overline{m} \\ \llbracket e_{2} \rrbracket_{m} & \text{if } L(e_{2}) = \emptyset \\ \llbracket e_{1} \rrbracket_{m} + \llbracket e_{2} \rrbracket_{m} & \text{if } L(e_{1}) = \emptyset \\ \llbracket e_{1} \rrbracket_{m} + \llbracket e_{2} \rrbracket_{m} & \text{otherwise} \\ \llbracket e_{1.e_{2}} \rrbracket_{m} &= & (\nu m_{1})(\llbracket e_{1} \rrbracket_{m_{1}} \mid m_{1}.\llbracket e_{2} \rrbracket_{m}) \text{ with } m_{1} \notin fn(e_{1}) \\ \llbracket e^{\star} \rrbracket_{m} &= & \begin{cases} \overline{m} & \text{if } L(e) = \emptyset \\ (\nu m')(\overline{m'} \mid !m'.\llbracket e \rrbracket_{m'} \mid m'.\overline{m}) \text{ with } m' \notin fn(e) & \text{otherwise} \end{cases} \\ \text{K D. Valencia CNRS-LIX Ecole Polytechnique} & \text{Expressiveness} \end{cases}$$

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Computational Expressiveness of CCS  $\pi^0 = CCS_!$ 

## Theorem ( $\pi^0$ can generate REG)

Given a regular expression e, there exists a CCS! process  $P_e$  such that  $s \in L(e)$  iff  $s \in L(P_e)$ .

But CCS! can generate CFL languages too.

Exercise. Write a CCS! process Q such that  $L(Q) = a^n b^n$ . *Hint:* Recall the process P such that  $L(P) = a^n c$ .

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# Computational Expressiveness of $\pi^0 = CCS_!$

- CCS! is also Turing Expressive: It can also encode Minsky Machines.
- The encoding is unfaithfull: **[***M***]** can evolve into a process which does NOT correspond to any computation of *M*.
  - Such process however never terminates (i.e., it is divergent).
- In fact, CCS! cannot encode even CFG faithfully.
  - The following theorem and  $a^n b^n c$  are central to this impossibility result:

### Theorem

Let  $P \in CCS$ !. Suppose that  $P \stackrel{s.\alpha}{\Longrightarrow}$  where  $s \in Act^*$ . Then  $P \stackrel{s'.\alpha}{\Longrightarrow}$  for some  $s' \in Act^*$  whose length is bounded by a value depending only on the size of P.
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Computational Expressiveness of  $\pi^0 = CCS_!$ 

The construction in CCS! differs for registers.

Counter in CCS!

$$C \stackrel{\text{def}}{=} \overline{c} \parallel \frac{!c.(\nu m, i, d, u)(\overline{m} \parallel!m.(inc.\overline{i} + dec.\overline{d}) \parallel}{!i.(\overline{m} \parallel \overline{inc'} \parallel \overline{u} \parallel d.u.(\overline{m} \parallel \overline{dec'}) \parallel} \\ \frac{!i.(\overline{m} \parallel \overline{inc'} \parallel \overline{u} \parallel d.u.(\overline{m} \parallel \overline{dec'}) \parallel}{d.(\overline{isz} \parallel u.DIV \parallel \overline{c})}$$

Instructions:  $L_2$  : if X = 0 then goto  $L_4$  else goto  $L_8$  can be modelled as

 $!I_2.\overline{decX}.(dec'X.\overline{I_4} + iszX.\overline{I_8})$ 

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Computational Expressiveness of  $\pi^0 = CCS_!$ 

#### Theorem (Correctness)

M(X, Y) computes n on X if and only if

$$\exists Q : (\llbracket M \rrbracket \parallel halt.Dec_n) \Longrightarrow (Q \parallel \overline{yes}) \land Q \not \longrightarrow$$

where for n > 0  $Dec_n = \overline{decX}.dec'X.Dec_{n-1}$  and  $D_0 = iszX.\overline{yes}$ .

References

Recursion vs Replication in  $\pi$ Polyadicity vs Monadicity in  $\pi$ Computional Expressiveness in Process Calculi Linearity vs Persistence in  $A\pi$ 

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Linearity. Linearity of messages and input processes.

- In the  $\pi$ -calculus outputs (messages) and inputs are *linear*.
- E.g. the parallel composition

$$\bar{x}z \mid x(y).P \mid x(w).Q$$

reduces either

to

 $P\{z/y\} \mid Q$ 

or to

 $P \mid Q\{z/w\}$ 

### Persistence. Persistence of messages.

- Other calculi follow a different pattern: *Messages are persistent*. E.g.:
- Concurrent Constraint Programming (CCP)[Saraswat'90] where

information can only increase during computation.

• Several *Calculi for Security* (e.g., Winskel&Crazolara's SPL) to model a Dolev-Yao assumption:

"The Spy sees and remembers every message in transit"

 $\begin{array}{c} \mbox{Introduction} \\ \mbox{Terms and Operators Expressiveness} \\ \mbox{Expressing Power of Asynchronous Pi,} \\ \mbox{Exercises and Solutions} \end{array} \qquad \begin{array}{c} \mbox{Recursion vs Replication in } \pi \\ \mbox{Polyadicity vs Monadicity in } \pi \\ \mbox{Computional Expressiveness in Process Calculi} \\ \mbox{Linearity vs Persistence in } A\pi \end{array}$ 

### Persistence. Persistence of messages and input process.

- Persistent  $\pi$  input processes model functions, procedures and higher-order communication (also arises in the notion of  $\omega$ -receptiveness) [Sangiorgi'99].
- Persistent messages and input processes can be used to reason about protocols that can run unboundedly (see [Blanchet'04]).

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## Linearity vs Persistence.

- Does the persistence assumption restrict the kind of systems that can be reasoned about ? E.g.
- Can some security attacks based on linear messages be impossible to model under the persistent message assumption of SPL?
- Is Linear CCP more expressive than CCP ?

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### Linearity vs Persistence.

To study the expressiveness of fragments of  $\pi$  capturing the above sources of persistence:

•  $A\pi$ : Asynch.  $\pi$ -calculus, here denoted simply as  $\pi$ :

 $P,Q := \bar{x}z \mid x(y).P \mid P \mid Q \mid (\nu x)P \mid !P$ 

•  $PO\pi$ : *Persistent-output* (messages)  $\pi$ :

 $P,Q := \overline{!}xz \mid x(y).P \mid P \mid Q \mid (\nu x)P \mid !P$ 

•  $PI\pi$ : Persistent-input  $\pi$ :

 $P,Q := \bar{x}z \mid !x(y).P \mid P \mid Q \mid (\nu x)P \mid !P$ 

•  $P\pi$ : *Persistent* (input & ouput)  $\pi$ :

 $P,Q := \overline{!xz} \mid !x(y).P \mid P \mid Q \mid (\nu x)P \mid !P$ 

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## Encodings & Interpretations

Some studies on Linearity vs Persistence have reported:

- The (non) existance of, *compositional encodings* between the fragments *fully-abstract* wrt barbed congruence and barbed bisimilarity.
- The *Turing-Completeness* of  $P\pi$ .
- A *compositional FOL* interpretation of  $P\pi$ .



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# Applications: Decidability

As applications of the above and classic FOL results there are

• Decidability results of *barbed-congruence* for *n*-adic versions.

	Ρπ	ΡΟπ	$PI\pi$
0	yes	yes	no
1	?	no	
2	no		

• Identify meaningful decidable *infinite-state* mobile classes of  $\pi$  processes.

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Impossibility of a sound encoding of  $\pi$  in  $P\pi$ 

#### Impossibility of Sound Encodings

There is no encoding  $\llbracket \cdot \rrbracket : \pi \to P\pi$ , homomorphic wrt parallel composition, such that  $\llbracket P \rrbracket \cong^{c} \llbracket Q \rrbracket$  implies  $P \cong^{c} Q$ .

- <sup>c</sup> is barbed congruence for Pπ
   (the result also holds for barbed bisimilarity)
- Key property: in  $P\pi$ , for every  $P, P \mid P \cong^{c} P$ .
- Key property is not trivial for P = (vx)Q and it does not hold with mismatch. Notice that R =!x(y).!x(y').[y ≠ y'].t distinguishes Q = (vz)!xz from Q | Q.

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# Impossibility of a sound encoding of $\pi$ in $P\pi$

#### Theorem

There is no encoding  $\llbracket \cdot \rrbracket : \pi \to P\pi$ , homomorphic wrt parallel composition, such that  $\llbracket P \rrbracket \cong^{c} \llbracket Q \rrbracket$  implies  $P \cong^{c} Q$ .

The proof involves the following lemmas:

$$If P \longrightarrow Q then P \sigma \longrightarrow Q \sigma.$$

• Does it hold with mistmatch?

 $P \approx Q \text{ iff } P \stackrel{\sim}{\simeq} Q \text{ where }$ 

- $\dot{pprox}$  is barbed bisimilarity for  ${\it P}\pi$  and
- $P \stackrel{\circ}{\simeq} Q$  holds iff  $P \Downarrow_{\overline{X}} \iff Q \Downarrow_{\overline{X}}$ )

 $P \parallel P \cong^{\mathsf{c}} P.$ 

Take  $P = Q \parallel Q$ ,  $Q = \overline{x} \parallel x.x.\overline{t}$ . Notice that  $P \not\cong^{c} Q$ . But from (4)  $\llbracket P \rrbracket = \llbracket Q \rrbracket \parallel \llbracket Q \rrbracket \cong^{c} \llbracket Q \rrbracket$ . It remains to prove (3) and (4).

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# FOL Characterization of $P\pi$

#### FOL Interpretation of $P\pi$

$$\llbracket !\bar{x}z \rrbracket = out(x,z), \quad \llbracket !x(y).P \rrbracket = \forall_y out(x,y) \Rightarrow \llbracket P \rrbracket \\ \llbracket (\nu x)P \rrbracket = \exists_x \llbracket P \rrbracket, \quad \llbracket P \mid Q \rrbracket = \llbracket P \rrbracket \land \llbracket Q \rrbracket.$$

• *Input* and *"new"* binders are interpreted as *universal* and *existential* quantifiers.

Theorem: FOL Characterization of Barbed Observability

 $\llbracket P \rrbracket \models \exists_z out(x, z) \text{ if and only if } P \Downarrow_{\bar{x}}$ 

• With mismatch and  $\llbracket x \neq y.P \rrbracket = x \neq y \Rightarrow \llbracket P \rrbracket$ ,  $Q = (\nu y)(\nu y')[y \neq y'].! \bar{x}z \downarrow_{\bar{x}}$  but  $\llbracket Q \rrbracket \not\models \exists_z out(x, z).$ 

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# FOL Characterization of $P\pi$

- Consider the following example:
- In P = |x(y), Q| ( $\nu z$ )!xz, by extruding the private name z, we can conclude that  $(\nu z)Q\{z/y\}$  is executed in P.
- In  $\llbracket P \rrbracket = \forall_y out(x, y) \Rightarrow \llbracket Q \rrbracket \land \exists_z out(x, z)$ , by moving the existential z to outermost position, we conclude that  $\exists_z \llbracket Q \rrbracket \{z/y\}$  is a *logical consequence* of  $\llbracket P \rrbracket$ .
- FOL interpretation captures name extrusion (*P*π-calculus mobility) in FOL via *existential* and *universal* quantifiers.

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### Encoding $A\pi$ in the semi-persistent calculi

Consider  $S = \bar{x}u | \bar{x}w | x(y).\bar{y}m | x(y).\bar{y}n$ . Want an encoding [[S]] in the semi-persistent calculi s.t.:

- $\llbracket S \rrbracket = \llbracket \overline{x} \langle u \rangle \rrbracket \mid \llbracket \overline{x} \langle w \rangle \rrbracket \mid \llbracket x(y).\overline{y}m \rrbracket \mid \llbracket x(y).\overline{y}n \rrbracket$  behaves
- either as (a)  $\llbracket \overline{u} \langle m \rangle \rrbracket$  |  $\llbracket \overline{w} \langle n \rangle \rrbracket$  or as (b)  $\llbracket \overline{w} \langle m \rangle \rrbracket$  |  $\llbracket \overline{u} \langle n \rangle \rrbracket$ .
- Problem: In either case input and outputs are both consumed. In the semi-persistent calculi either input or outputs cannot be consumed.

# Encoding $A\pi$ in $PO\pi$

The encoding [[·]] : π → PIπ is a homomorphism for all operators but:

$$\llbracket x(\vec{y}).P \rrbracket = (\nu t f)(\overline{t} \mid !x(\vec{y}).(\nu l)(\overline{l} \mid !t.!l.(\llbracket P \rrbracket \mid !\overline{f}) \mid !\overline{f}) \mid !f.!l.\overline{x}\langle \vec{y} \rangle))$$

- The idea is a suitable combination of locking and forwarding mechanisms: If the [x(y).P] has already received a message then it forwards the current message.
- Key property: In asynch.  $\pi$ , forwarders (e.g.,  $!x(y).\bar{x}y$ ) are barbed congruent to the null process 0.

#### Theorem

Every (asynch)  $\pi$  process P is (weak) barbed congruent to  $\llbracket P \rrbracket$ .

# Encoding $A\pi$ in $PO\pi$

• Consider the encoding  $\llbracket \cdot \rrbracket : \pi \to PO\pi$ :

$$\begin{split} \llbracket \overline{x} \langle \vec{z} \rangle \rrbracket &= (\nu s) (! \overline{x} \langle s \rangle \mid s(r) . ! \overline{r} \langle \vec{z} \rangle) \\ \llbracket x(\vec{y}) . P \rrbracket &= x(s) . (\nu r) (! \overline{s} \langle r \rangle \mid r(\vec{y}) . \llbracket P \rrbracket) \end{split}$$

- Problem: An encoded input may get deadlocked. E.g.,
  - Consider  $\llbracket \overline{x} \langle u \rangle \rrbracket | \llbracket \overline{x} \langle w \rangle \rrbracket | \llbracket x(y).P \rrbracket | \llbracket x(y).Q \rrbracket.$
  - Suppose [[x(y).P]] gets the u of [[x(y).Q]] may input the broadcast s of [[x(u)]] and get stuck waiting on r unable to interact with [[x(w)]].
- But this wouldn't be a problem if inputs were *persistent* as in *PI*π: If a copy becomes unable to interact with, there is always another able to.
- Solution: Encode first  $\pi$  into  $PI\pi$  and then compose the encodings Frank D. Valencia CNRS-LIX Ecole Polytechnique Expressiveness

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### References on Linearity vs Persistence

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## Expressive Power of Asynchronous Communication

Motivation: To understand the expressive power of  $A\pi$  .

- It's theory is simpler and somewhat more satisfactory.
- We've seen how it encodes (synchronous, polyadic)  $\pi$ . Recall e.g., Boudol's encoding.
- But for the encodings are for  $\pi$  without summation.
- We shall see how it encodes various forms of summation.
- We shall see it cannot encode arbitrary summation.
- We'll do this by using *electoral problems* solvable in  $\pi$  but not in  $A\pi$ .

Encoding summations in  $A\pi$ . Electoral Systems in  $\pi$ 

## Some Distintive Properties for $A\pi$

If 
$$P \xrightarrow{\overline{x}\langle y \rangle} P'$$
 then  $P \equiv \overline{x}\langle y \rangle \parallel P'$ .
If  $P \xrightarrow{\overline{x}\langle y \rangle} \xrightarrow{\alpha} P'$  then  $P \xrightarrow{\alpha} \xrightarrow{\overline{x}\langle y \rangle} P' \equiv P'$ .
If  $P \xrightarrow{\overline{x}\langle y \rangle} \xrightarrow{xw} P'$  with  $w \notin fn(P)$  then  $P \xrightarrow{\tau} \equiv P'\{y/w\}$ .
Exercise: Show (1-3) then Theorem below. Does (2) hold for  $\Longrightarrow$ ?

Theorem (Diamond Property)



Encoding summations in  $A\pi$ . Electoral Systems in  $\pi$ 

# Equivalences for $A\pi$

### Definition (Asynchronous Barbed Bisimilarity)

- - (a)  $P \downarrow_{\overline{x}}$  implies  $Q \Downarrow_{\overline{x}}$
  - (b) P → P' implies Q ⇒ ≈ P'.
- (2) P and Q are asynchronous barbed congruent, P ≃<sup>c</sup><sub>a</sub> Q, if C[P] ≈<sup>i</sup><sub>a</sub> C[Q] for every context C of Aπ.

### Definition (Asynchronous Bisimilarity)

Asynchronous bisimilarity is the largest symmetric relation,  $\approx_a$ , such that whenever  $P \approx_a Q$ , (1) if  $P \xrightarrow{\alpha} P'$  and  $\alpha$  is  $\overline{xy}$  or  $\overline{x}(x)$  or  $\tau$ , then  $Q \xrightarrow{\alpha} \approx_a P'$ (2) if  $P \xrightarrow{xy} P'$  then (a)  $Q \xrightarrow{xy} \approx_a P'$  or (b)  $Q \Rightarrow Q'$  and  $P' \approx_a (Q' | \overline{xy})$ .

Encoding summations in  $A\pi$ . Electoral Systems in  $\pi$ 

## Equivalences for $A\pi$

Some useful properties in  $A\pi$ :

- $\bullet \quad \text{If } P \approx_{\mathsf{a}} Q \text{ then } P \cong^{\mathsf{c}}_{\mathsf{a}} Q \text{ .}$
- 2  $x(y).\overline{x}\langle y \rangle \cong^{c}_{a} 0$  (I.e., forwarders are equivalent to 0).

Exercise: Show (2).

Encoding summations in  $A\pi$ . Electoral Systems in  $\pi$ 

The  $\pi$  calculus with prefixed summations

The  $\pi$ -calculus prefixed summation  $\pi^{\Sigma}$  extends the  $\pi$  fragment we've considered so far with guarded summations:

• 
$$P := \ldots \mid \sum_{i \in I} \pi_i . P_i$$

#### Reduction rule for summation

R-INTER

$$(\overline{x}y.P_1 + M_1) \mid (x(z).P_2 + M_2) \longrightarrow P_1 \mid P_2\{y/z\}$$

#### Transition rule for summation

SUM-L 
$$\frac{P \xrightarrow{\alpha} P'}{P + Q \xrightarrow{\alpha} P'}$$

Encoding summations in  $A\pi$ . Electoral Systems in  $\pi$ 

The  $\pi$  calculus with blind choice:  $\pi^{\Sigma \tau}$ 

In the blind-choice  $\pi\text{-calculus, summation takes the form }\sum_{i\in I} \tau.P_i$  .

Exercises:

- Give an encoding  $\llbracket \cdot \rrbracket : A\pi^{\Sigma\tau} \to A\pi$  such that  $\llbracket P \rrbracket \sim P$ .
- Show that there cannot be an encoding  $\llbracket \cdot \rrbracket : A\pi^{\Sigma} \to A\pi$  such that  $\llbracket P \rrbracket \sim P$ .

Encoding summations in  $A\pi$ . Electoral Systems in  $\pi$ 

## The $\pi$ calculus with input-choice: $\pi^{\Sigma i}$

In input-choice  $\pi$  summations takes the form  $\sum_{i \in I} x_i(y_i) . P_i$ .

#### Encoding into Asynchronous (polyadic) $\pi$

$$\begin{split} & [\Sigma_i \, x_i(z) . \, P_i] \stackrel{\text{def}}{=} \boldsymbol{\nu} \ell( \text{ PROCEED}(\ell) \\ & |\Pi_i \, x_i(z) . \, (\boldsymbol{\nu} p, f) \ (\overline{\ell}(p, f) \mid p. \left( \text{FAIL}(\ell) \mid [P_i] \right) \\ & | f. \left( \text{FAIL}(\ell) \mid \overline{x_i}(z) \right) ) ) \end{split} \\ & \text{where } \ell, \, p, \text{ and } f \text{ are fresh and} \\ & \text{PROCEED}(\ell) \quad \stackrel{\text{def}}{=} \quad \ell(p, f) . \overline{p} \end{split}$$

 $FAIL(\ell) \stackrel{\text{def}}{=} \ell(p, f). \overline{f}$ .

#### Exercises:

- **1** Let  $\mathcal{E}$  be the above encoding. Show that  $\exists P : \mathcal{E}(P) \not\approx_{a} P$ .
- **2** Give  $\mathcal{E}' : A\pi^{\Sigma i} \to A\pi$  so that  $\forall P : \mathcal{E}'(P) \approx_a P$ .
- **③** Then show that  $\mathcal{E}$  is neither sound nor complete.

Encoding summations in  $A\pi$ . Electoral Systems in  $\pi$ 

# The $\pi$ calculus with input-choice: $\pi^{\Sigma i}$

Encoding into Asynchronous (polyadic)  $\pi$ 

$$\begin{split} \left[ \Sigma_{i} \, x_{i}(z) . \, P_{i} \right] &\stackrel{\text{def}}{=} \boldsymbol{\nu} \ell \left( \begin{array}{c} \text{PROCEED} \left( \ell \right) \\ & | \Pi_{i} \, x_{i}(z) . \left( \boldsymbol{\nu} p, f \right) \left( \overline{\ell} \langle p, f \rangle \mid p. \left( \text{FAIL}(\ell) \mid [P_{i}] \right) \\ & | f. \left( \text{FAIL}(\ell) \mid \overline{x_{i}} \langle z \rangle \right) \right) \right) \\ \text{where } \ell, \, p, \, \text{and } f \text{ are fresh and} \\ \\ \begin{array}{c} \text{PROCEED} \left( \ell \right) &\stackrel{\text{def}}{=} \ell(p, f) . \, \overline{p} \\ & \text{FAIL} \left( \ell \right) &\stackrel{\text{def}}{=} \ell(p, f) . \, \overline{f} \, . \end{split}$$

### **Observations and Hints:**

- Consider  $P = \overline{x}\langle z \rangle \parallel x(y).\overline{y} + w(y).0$  to show  $\mathcal{E}(P) \not\approx_a P$ .
- Note that  $\mathcal{E}$  and  $\mathcal{E}'$  act as the identity on their images. So  $\mathcal{E}(\mathcal{E}(P)) = \mathcal{E}(P)$  and  $\mathcal{E}(\mathcal{E}'(P)) = \mathcal{E}'(P)$ .
- However  $\mathcal{E}(P) \bowtie P$  where  $\bowtie \stackrel{\text{def}}{=}$  coupled-bisimulation.

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## The $\pi$ calculus with separate-choice: $\pi^{\Sigma s}$

In separate-choice summation can be  $\sum_i x_i(y_i) P_i$  or  $\sum_i \overline{x_i} \langle y_i \rangle P_i$ 

#### Encoding into Asynchronous (polyadic) $\pi$

```
\{\!\{\Sigma_i \,\overline{x_i} d_i, P_i\}\!\} \stackrel{\text{def}}{=} \nu s \ (\text{PROCEED}(s))
\prod_i \nu_q (\overline{q})
                         !g. y_i(z, s, a). (\nu p_1, f_1) (\overline{r} \langle p_1, f_1 \rangle)
                                                     p_1.(\nu p_2, f_2) \ (\ \overline{s}(p_2, f_2))
                                                                      p_2. (FAIL(r)
                                                                               FAIL(s)
                                                                               PROCEED(a)
                                                                               \{Q_i\}\}
                                                                      f_2. (PROCEED(r)
                                                                               FAIL(s)
                                                                               FAIL(a)
                                                                               \overline{q}))
                                                     | f_1. (FAIL(r) | \overline{y_i}(z, s, a))))
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The  $\pi$  calculus with mixed choice:  $\pi^{\Sigma}$ 

In  $\pi^{\Sigma}$  summations are mixed. Can we encode them using the obvious generalization of the previous encoding of  $\pi^{\Sigma s}$  ?

- Consider  $P = x_1(y).P_1 + \overline{x_2}\langle w \rangle.P_2 \parallel \overline{x_1}\langle w \rangle.Q_1 + x_2(y).Q_2$
- How about other encodings?

#### Impossibility Result

Under certain reasonable restrictions, no encoding of mixed-choice into  $A\pi$  can exist.

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## Background: Hypergraphs

#### Definition (Hypergraphs)

A hypergraph is a tuple  $H = \langle N, X, t \rangle$  where N, X are finite sets whose elements are called *nodes* and *edges* (or *hyperedges*) respectively, and t (*type*) is a function which assigns to each  $x \in X$  a set of nodes, representing the nodes *connected* by x. We will also use the notation  $x : n_1, \ldots, n_k$  to indicate  $t(x) = \{n_1, \ldots, n_k\}$ .

#### Definition (Automorphism)

The concept of graph automorphism extends naturally to hypergraphs: Given a hypergraph  $H = \langle N, X, t \rangle$ , an *automorphism* on H is a pair  $\sigma = \langle \sigma_N, \sigma_X \rangle$  such that  $\sigma_N : N \to N$  and  $\sigma_X : X \to X$  are permutations which preserve the type of edges, namely for each  $x \in X$ , if  $x : n_1, \ldots, n_k$ , then  $\sigma_X(x) : \sigma_N(n_1), \ldots, \sigma_N(n_k)$ .

• The orbit  $n \in X$  by  $\sigma$  is  $O_{\sigma}(n) = \{n, \sigma(n), \sigma^2(n), \dots, \sigma^h(n)\}$ where *h* is the least power s.t.  $\sigma^h = id$ .

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# Background: Hypergraphs

- $\sigma$  is *well-balanced* iff all of its orbits have the same cardinality.
- E.g., (1) and (2) have a one with a single orbit of size 6, (4) has none.



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### Networks

- A (process) network P of size k takes the form  $P_1 \parallel \ldots \parallel P_k$ .
- A *computation* C of the P takes the form:

$$\begin{array}{ccc} P_1|P_2|\dots|P_k & \xrightarrow{\mu^2} & P_1^1|P_2^1|\dots|P_k^1 \\ & \xrightarrow{\mu^2} & P_1^2|P_2^2|\dots|P_k^2 \\ & \vdots \\ & \vdots \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \begin{pmatrix} \mu^{n-1} \\ & \\ & \end{pmatrix} & P_1^n|P_2^n|\dots|P_k^n \\ & & \\ & & \\ & & \begin{pmatrix} \mu^n \\ & \\ & \\ & \end{pmatrix} & \dots \end{pmatrix} \end{array}$$

• *Proj*(*C*, *i*) is the contributions of *P<sub>i</sub>* to *C*: The sequence of transitions performed by *P<sub>i</sub>* in *C*.

$$P_i \stackrel{\tilde{\mu}^0}{\Longrightarrow} P_i^1 \stackrel{\tilde{\mu}^1}{\Longrightarrow} P_i^2 \stackrel{\tilde{\mu}^2}{\Longrightarrow} \dots \stackrel{\tilde{\mu}^{n-1}}{\Longrightarrow} P_i^n \stackrel{\tilde{\mu}^n}{\Longrightarrow} \dots)$$

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## **Electoral Networks**

- A (process) network P of size k takes the form  $P_1 \parallel \ldots \parallel P_k$ .
- A *computation* C of the P takes the form:

$$\begin{array}{ccc} P_1|P_2|\dots|P_k & \xrightarrow{\mu^2} & P_1^1|P_2^1|\dots|P_k^1 \\ & \xrightarrow{\mu^1} & P_1^2|P_2^2|\dots|P_k^2 \\ & \vdots \\ & \vdots \\ & & \\ & & \\ \frac{\mu^{n-1}}{\longrightarrow} & P_1^n|P_2^n|\dots|P_k^n \\ & & \\ & & (\xrightarrow{\mu^n} & \dots) \end{array}$$

• *Proj*(*C*, *i*) is the contributions of *P<sub>i</sub>* to *C*: The sequence of transitions performed by *P<sub>i</sub>* in *C*.

$$P_i \stackrel{\tilde{\mu}^0}{\Longrightarrow} P_i^1 \stackrel{\tilde{\mu}^1}{\Longrightarrow} P_i^2 \stackrel{\tilde{\mu}^2}{\Longrightarrow} \dots \stackrel{\tilde{\mu}^{n-1}}{\Longrightarrow} P_i^n \stackrel{\tilde{\mu}^n}{\Longrightarrow} \dots)$$

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## Electoral Networks in $\pi$

- A network P = P<sub>1</sub> || ... || P<sub>k</sub> is an *electoral system* iff for every computation C of P:
  - C can be extended to a computation C' and
  - $\exists n \leq k$  (the "leader") s.t.,
    - $\forall i \leq k$ : Proj(C', i) contains the action  $\overline{out}n$ , and
    - no extension of C' contains any action  $\overline{out}m$  with  $m \neq n$ .
- The hypergraph of P,  $H(P) = \langle N, X, t \rangle$  is given by:

• 
$$t(x) = \{n \mid x \in fn(P_n) \}$$

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## Symmetric Electoral Networks in $\pi$

- Given  $P = P_1 \parallel \ldots \parallel P_k$ , let  $\sigma$  be an automorphism on H(P).
  - *P* is *symmetric* wrt  $\sigma$  iff for each  $i \leq k$ ,
    - $P_{\sigma(i)} \equiv P_i \sigma$ .
  - P is symmetric iff symmetric wrt all automorphism on H(P)
- Notice that P is symmetric wrt σ then it is symmetric wrt σ<sup>i</sup> (i > 1).
- Symmetric electoral system in  $\pi^{\Sigma}$  with ring structure:



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## Symmetric Electoral Networks in $A\pi$ .

#### Theorem (Impossibility of electoral systems)

Let  $P = P_1 \parallel ... \parallel P_k$  be a  $A\pi$  network so that H(P) is a ring with k > 1. Assume that P is symmetric wrt  $\sigma$  where  $\sigma$  has a single orbit on H(P). Then P cannot be an electoral system.

The proof strategy involves:

- Building a computation  $P \xrightarrow{\mu_1} P^1 \dots \xrightarrow{\mu_h} P^h$  so that  $P^h$  is a symmetric network for every h > 1.
- **2** Usind Diamond Lemma and Symmetry of  $P^{h-1}$  to build  $P^h$ .
- The symmetry cannot be broken, hence no leader can be selected.

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## Symmetric Electoral Networks in $A\pi$ .

### Corollary:

• There is no encoding  $\llbracket \cdot \rrbracket : \pi^{\Sigma} \to A\pi$  such that

$$\begin{bmatrix} P & \| & Q \end{bmatrix} = \llbracket P \rrbracket & \| & \llbracket Q \end{bmatrix}$$

$$\mathbf{P}\sigma\mathbf{J} = \mathbf{P}\sigma\mathbf{J}\sigma$$

Preservation of obervables (actions on visible channels) on maximal computations.

### Proof idea: (1) and (2) preserve symmetry and (3) distinguishes an electoral system from a non-electoral one.
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#### Expressiveness Hierarchy

Summary



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# References

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- Uwe Nestmann: What is a "Good" Encoding of Guarded Choice? Inf. Comput. 156(1-2): 287-319 (2000).
- Uwe Nestmann, Benjamin C. Pierce: *Decoding Choice Encodings.* Inf. Comput. 163(1): 1-59 (2000)

# Exercises: Non-Complete Encodings

### Exercises :

- Show that the encoding  $\llbracket \cdot \rrbracket : \pi^2 \to \pi$  is not complete. I.e.,  $P \cong^c Q$  does not imply  $\llbracket P \rrbracket \cong^c \llbracket Q \rrbracket$ .
  - Take  $P = \overline{x} \langle yz \rangle .0 \parallel \overline{x} \langle yz \rangle .0$  and  $Q = \overline{x} \langle yz \rangle .\overline{x} \langle yz \rangle .0$ . Consider the context  $K = [\cdot] \parallel x(u).x(w).\overline{t} \langle t \rangle$ .
- Are the encodings  $\llbracket \cdot \rrbracket : A\pi \to \pi$  by Boudol and Honda complete wrt  $\cong^{c}$ ? If not, prove it.
  - Boudol's as above and Honda's as above but with

$$P = x(y).0 \parallel x(y).0$$
 and  $Q = x(y).x(y).0$ .

- Define a weakly compositional encoding  $\llbracket \cdot \rrbracket : K\pi \to \pi$  which is sound wrt  $\cong^{c}$ ? Is your encoding complete  $\cong^{c}$ ? If not, argue why.
  - Take the composite encoding  $K\pi \to \pi^n \to \pi$ . Notice that the polyadic communication occur on the private channels.

## Exercises: Trios

A trios process is a polyadic  $\pi$  process whose prefixes are of the form  $\pi'.\pi.\pi''.0$ . Trios processes can encode arbitrary polyadic  $\pi$  processes [Parrow'01].

Exercise Give an encoding  $\llbracket \cdot \rrbracket$  from  $\pi^0$  processes into  $\pi^0$  trios processes so that  $\llbracket P \rrbracket \approx P$ .

## Exercises: Trios

A trios process is a polyadic  $\pi$  process whose prefixes are of the form  $\pi'.\pi.\pi''.0$ . Trios processes can encode arbitrary polyadic  $\pi$  processes [Parrow'01].

Exercise Give an encoding  $\llbracket \cdot \rrbracket$  from  $\pi^0$  processes into  $\pi^0$  trios processes so that  $\llbracket P \rrbracket \approx P$ .

#### Solution

**Definition 6.** Given a CCS<sub>1</sub> process P,  $[\![P]\!]$  is the trios-process  $(\nu l)(\tau.\tau.\overline{l} \mid [\![P]\!]_l)$  where  $[\![P]\!]_l$ , with  $l \notin n(P)$ , is inductively defined as follows:

$$\begin{split} & \llbracket 0 \rrbracket_{l} = 0 \\ & \llbracket \alpha.P \rrbracket_{l} = (\nu \, l')(l.\alpha.\overline{l'} \mid \llbracket P \rrbracket_{l'}) \text{ where } l' \notin n(P) \\ & \llbracket P \mid Q \rrbracket_{l} = (\nu \, l', l'')(l.\overline{l'} \cdot \overline{l''} \mid \llbracket P \rrbracket_{l'} \mid \llbracket P \rrbracket_{l''}) \text{ where } l', l'' \notin n(P) \cup n(Q) \\ & \llbracket P \rrbracket_{l} = (\nu \, l')(!l.\overline{l'} \cdot \overline{l} \mid ! \llbracket P \rrbracket_{l'}) \text{ where } l' \notin n(P) \\ & \llbracket (\nu \, x)P \rrbracket_{l} = (\nu \, x) \llbracket P \rrbracket_{l} \end{aligned}$$

Exercises: Language of Processes

Exercises:

• Write a CCS! process P such that  $L(P) = a^*c$ . 

• 
$$P = (\nu I)(I \parallel ! (I.a.I) \parallel I.c)$$

• Write a CCS! process Q such that  $L(Q) = a^n b^n$ .

• 
$$P = (\nu I)(\bar{I} \parallel!(I.a.(\bar{I} \parallel u)) \parallel I.!u.b)$$

## Exercises: Properties of $A\pi$

In  $A\pi$  the following holds:

• If 
$$P \xrightarrow{\overline{x}\langle y \rangle} P'$$
 then  $P \equiv \overline{x}\langle y \rangle \parallel P'$ .  
• If  $P \xrightarrow{\overline{x}\langle y \rangle} \xrightarrow{\alpha} P'$  then  $P \xrightarrow{\alpha} \xrightarrow{\overline{x}\langle y \rangle} P' \equiv P'$ .  
•  $x(y).\overline{x}\langle y \rangle \cong^{c}{}_{a} 0.$ 

**Exercise:** Show (1) and (2) then Theorem below. Also show (3).

Theorem (Diamond Property for  $A\pi$ )



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Exercises for Choice Operators.

In the blind-choice  $\pi\text{-calculus, summation takes the form }\sum_{i\in I} \tau.P_i$  .

Exercises:

- Give an encoding  $\llbracket \cdot \rrbracket : A\pi^{\Sigma\tau} \to A\pi$  from asynchronous  $\pi$  with blind-choice to  $A\pi$  such that  $\llbracket P \rrbracket \sim P$ .
- Show that there cannot be an encoding  $\llbracket \cdot \rrbracket : A\pi^{\Sigma} \to A\pi$  from asynchronous  $\pi$  with choice to  $A\pi$  such that  $\llbracket P \rrbracket \sim P$ .

Exercises for Choice Operators

#### Encoding into Asynchronous (polyadic) $\pi$

 $FAIL(\ell) \stackrel{\text{def}}{=} \ell(p, f). \overline{f}$ .

$$\begin{split} & [\Sigma_{i} x_{i}(z), P_{i}] \stackrel{\text{det}}{=} \nu \ell \left( \begin{array}{c} \text{PROCEED} \left( \ell \right) \\ & |\Pi_{i} x_{i}(z), \left( \nu p, f \right) \left( \overline{\ell} \langle p, f \rangle \mid p, \left( \text{FAIL} \left( \ell \right) \mid [P_{i}] \right) \\ & | f, \left( \text{FAIL} \left( \ell \right) \mid \overline{x_{i}}(z) \right) \right) \\ & \text{where } \ell, p, \text{ and } f \text{ are fresh and} \\ & \text{PROCEED} \left( \ell \right) \stackrel{\text{def}}{=} \ell(p, f), \overline{p} \end{split}$$

Exercises:

- **(**) Let  $\mathcal{E}$  be the above encoding. Show that  $\exists P : \mathcal{E}(P) \not\approx_a P$ .
- $\bigcirc$  Then show that  $\mathcal E$  is neither sound nor complete.
  - Hint: Consider  $P = \overline{x}\langle z \rangle \parallel x(y).\overline{y} + w(y).0$  to show  $\mathcal{E}(P) \not\approx_{a} P$ .
  - Hint: Note that  $\mathcal{E}$  acts as the identity on its images. So  $\mathcal{E}(\mathcal{E}(P)) = \mathcal{E}(P)$  and  $\mathcal{E}(\mathcal{E}'(P)) = \mathcal{E}'(P)$ .