

## **Second Order Types**

## Loss of information

Consider the function

$$I = \lambda x^T.x : T \rightarrow T$$

By the rule for application

$$\frac{I : T \rightarrow T \quad M : U < T}{I(M) : T}$$

Therefore

$$(\lambda x^{\langle\langle a:\text{int}\rangle\rangle}.x)\langle a=1, b=2 \rangle : \langle\langle a : \text{int}\rangle\rangle$$

## Second order

$$I : \forall X \leq T.X \rightarrow X$$

## Two ways:

1. Implicit polymorphism
2. Explicit polymorphism

## Implicit polymorphism

No types in terms

$$\lambda x.x : \forall \alpha. \alpha \rightarrow \alpha$$

$$(\lambda x.x)3 : int$$

$$\frac{[\alpha = \beta] \quad \frac{x : \alpha \vdash x : \alpha}{\vdash \lambda x.x : \alpha \rightarrow \beta} \quad \vdash 3 : int}{\vdash (\lambda x.x)3 : \beta} \quad [\alpha = int]$$

## Subtyping

$$\lambda x.((\lambda y.x)(x.\ell + 3)) : \forall \alpha \leq \langle\ell : int\rangle. \alpha \rightarrow \alpha$$

Therefore

$$\lambda x.((\lambda y.x)(x.\ell + 3))(\langle\ell = 1, m = true\rangle) : \langle\ell : int, m : bool\rangle$$

## Inference with subtyping

$$\frac{\alpha = \beta}{x:\alpha \vdash \lambda y.x : \gamma \rightarrow \beta} \quad \frac{x:\alpha \vdash x:\alpha \quad x:\alpha \vdash 3:int \quad x:\alpha \vdash x.\ell : \epsilon}{x:\alpha \vdash x.\ell + 3 : \delta} \quad \frac{x:\alpha \vdash x:\alpha \quad x:\alpha \vdash 3:int \quad x:\alpha \vdash x.\ell : \epsilon}{x:\alpha \vdash x.\ell + 3 : \delta} \quad \frac{x:\alpha \vdash x:\alpha \quad x:\alpha \vdash 3:int \quad x:\alpha \vdash x.\ell : \epsilon}{x:\alpha \vdash x.\ell + 3 : \delta} \\
 \frac{x:\alpha \vdash (\lambda y.x)(x.\ell + 3) : \beta}{\vdash \lambda x.((\lambda y.x)(x.\ell + 3)) : \alpha \rightarrow \beta}$$

$\alpha \leq \langle\!\langle \ell : \epsilon \rangle\!\rangle$   
 $\delta = int, \epsilon \leq int$   
 $\delta \leq \gamma$

Resulting type

$$\forall \epsilon \leq int . \forall \alpha \leq \langle\!\langle \ell : \epsilon \rangle\!\rangle . \alpha \rightarrow \alpha$$

Simplified

$$\forall \alpha \leq \langle\!\langle \ell : int \rangle\!\rangle . \alpha \rightarrow \alpha$$

## Explicit polymorphism

$$\Lambda X. \lambda x^X.x : \forall X.X \rightarrow X$$

The programmer specifies the type

$$(\Lambda X. \lambda x^X.x)(\text{int})(3) \triangleright (\lambda x^{\text{int}}.x)(3)$$

## Subtyping

$$\Lambda X \leq \langle\!\langle a:\text{int} \rangle\!\rangle. \lambda x^X.x$$

The application

$$(\Lambda X \leq \langle\!\langle a:\text{int} \rangle\!\rangle. \lambda x^X.x)(\langle\!\langle a:\text{int}, b:\text{int} \rangle\!\rangle)$$

has type

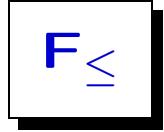
$$\langle\!\langle a:\text{int}, b:\text{int} \rangle\!\rangle \rightarrow \langle\!\langle a:\text{int}, b:\text{int} \rangle\!\rangle$$

thus

$$(\Lambda X \leq \langle\!\langle a:\text{int} \rangle\!\rangle. \lambda x^X.x)(\langle\!\langle a:\text{int}, b:\text{int} \rangle\!\rangle)(\langle a=1, b=3 \rangle)$$

has type

$$\langle\!\langle a:\text{int}, b:\text{int} \rangle\!\rangle$$



## Types

$$T ::= X \mid \text{Top} \mid T \rightarrow T \mid \forall(X \leq T)T$$

## Terms

$$\begin{aligned} a ::= & \quad x \mid (\lambda x^T.a) \mid a(a) \\ & \mid \text{top} \mid \wedge X \leq T.a \mid a(T) \end{aligned}$$

## Reduction

$$(\beta) \quad (\lambda x^T.a)(b) \triangleright a[x^T := b]$$
$$(\beta_{\forall}) \quad (\wedge X \leq T.a)(T') \triangleright a[X := T']$$

## Subtyping

$$(\text{refl}) \quad C \vdash T \leq T$$

$$(\text{trans}) \quad \frac{C \vdash T_1 \leq T_2 \quad C \vdash T_2 \leq T_3}{C \vdash T_1 \leq T_3}$$

$$(\text{taut}) \quad C \vdash X \leq C(X)$$

$$(\text{Top}) \quad C \vdash T \leq \text{Top}$$

$$(\rightarrow) \quad \frac{C \vdash T_1 \leq S_1 \quad C \vdash S_2 \leq T_2}{C \vdash S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2}$$

$$(\forall) \quad \frac{C \vdash T_1 \leq S_1 \quad C, (X \leq T_1) \vdash S_2 \leq T_2}{C \vdash \forall (X \leq S_1) S_2 \leq \forall (X \leq T_1) T_2}$$

## Type system

$$[\text{Vars}] \quad C ; \Gamma \vdash x : \Gamma(x)$$

$$[\rightarrow\text{Intro}] \quad \frac{C ; \Gamma, (x:T) \vdash a : T'}{C ; \Gamma \vdash (\lambda x^T.a) : T \rightarrow T'}$$

$$[\rightarrow\text{Elim}] \quad \frac{C ; \Gamma \vdash a : S \rightarrow T \quad C ; \Gamma \vdash b : S}{C ; \Gamma \vdash a(b) : T}$$

$$[\text{Top}] \quad C ; \Gamma \vdash \text{top} : \text{Top}$$

$$[\forall\text{Intro}] \quad \frac{C, (X \leq T) ; \Gamma \vdash a : T'}{C ; \Gamma \vdash \forall X \leq T. a : \forall (X \leq T) T'}$$

$$[\forall\text{Elim}] \quad \frac{C ; \Gamma \vdash a : \forall (X \leq S) T}{C ; \Gamma \vdash a(S) : T[X := S]}$$

$$[\text{Subsump}] \quad \frac{C ; \Gamma \vdash a : T' \quad C \vdash T' \leq T}{C ; \Gamma \vdash a : T}$$

## Transitivity elimination

$c ::= Id_A \mid X_T \mid \text{Top}_T \mid c \rightarrow c' \mid \forall(X \leq c)c' \mid cc'$

$$(\text{refl}) \quad C \vdash Id_A : A \leq A$$

$$(\text{trans}) \quad \frac{C \vdash c : T_1 \leq T_2 \quad C \vdash c' : T_2 \leq T_3}{C \vdash c'c : T_1 \leq T_3}$$

$$(\text{taut}) \quad C \cup \{X \leq T\} \vdash X_T : X \leq T$$

$$(\text{Top}) \quad C \vdash \text{Top}_T : T \leq \text{Top}$$

$$(\rightarrow) \quad \frac{C \vdash c_1 : T'_1 \leq T_1 \quad C \vdash c_2 : T_2 \leq T'_2}{C \vdash c_1 \rightarrow c_2 : T_1 \rightarrow T_2 \leq T'_1 \rightarrow T'_2}$$

$$(\forall) \quad \frac{C \vdash c_1 : T'_1 \leq T_1 \quad C \cup \{X \leq T'_1\} \vdash c_2 : T_2 \leq T'_2}{C \vdash \forall(X \leq c_1)c_2 : \forall(X \leq T_1)T_2 \leq \forall(X \leq T'_1)T'_2}$$

**Theorem 5** *There is a 1-1 correspondence between well-typed coerce expressions and subtyping derivations.*

## The rewriting system

$$\begin{array}{ll}
 (\text{Asc}) \quad (c d) e & \rightsquigarrow c(d e) \\
 (\rightarrow') \quad (c \rightarrow d) (c' \rightarrow d') & \rightsquigarrow (c' c) \rightarrow (d d') \\
 (\rightarrow'') \quad (c \rightarrow d) ((c' \rightarrow d') e) & \rightsquigarrow ((c' c) \rightarrow (d d')) e \\
 (\forall') \quad (\forall(X \leq c) d) (\forall(X \leq c') d') & \rightsquigarrow \forall(X \leq c' c) (d d'[X_T := c X_S]) \\
 (\forall'') \quad (\forall(X \leq c) d) ((\forall(X \leq c') d') e) & \rightsquigarrow (\forall(X \leq c' c) (d d'[X_T := c X_S])) e
 \end{array}$$

Normal forms are subterms of  $(c \rightarrow d) e_1 \dots e_n$  or of  $(\forall(X \leq c) d) e_1 \dots e_n$  where  $c, c_i, d, d_i$  are in normal form and  $e_1, \dots, e_n$  are either  $X_t$  or  $\text{Top}_T$ . They normal forms correspond to derivations in which every left premise of a (trans) rule is a leaf. Thus, the rewriting system pushes the transitivity up to the leaves.

## Example

$$(c \rightarrow d) ((c' \rightarrow d') e) \rightsquigarrow ((c' c) \rightarrow (d d')) e$$

**Theorem 6 (Soundness)** If  $c \rightsquigarrow^* d$  and  $C \vdash c : \Delta$  then  $C \vdash d : \Delta$

**Theorem 7 (Weak normalization)** Every innermost strategy for  $\rightsquigarrow$  terminates.

## Coherence

Let  $c : S \leq T$

$$\begin{array}{lll} (\text{id}_l) & Id_T c & \rightsquigarrow c \\ (\text{id}_r) & c Id_S & \rightsquigarrow c \\ (\text{top}) & \text{Top}_T c & \rightsquigarrow \text{Top}_S \\ (\text{varTop}) & X_{\text{Top}} & \rightsquigarrow \text{Top}_X \end{array}$$

Consider the composition of the rewriting systems:

**Theorem 8 (normal forms)** *Every well-typed coerce expression in normal form has the form  $c_0 c_1 \dots c_n$  with  $n \geq 0$ , where  $c_0$  can be any coerce expression different from a composition (of other coerce expressions) whose subformulae are in normal form, and  $c_1 \dots c_n$  are variables.*

**Theorem 9** *For every provable subtyping judgment, there exists only one coerce expression in normal form proving it.*

## Coherence

**Theorem 10 (coherence)** Let  $\Pi_1$  and  $\Pi_2$  be two proofs of the same judgment  $C \vdash \Delta$ . If  $c_1$  and  $c_2$  are the corresponding coerce expressions then  $c_1$  and  $c_2$  are equal modulo the rewriting system.

## Shape of NFs and the subtyping algorithm

The normal forms of Theorem 8 correspond to derivations in which every application of a (trans) rule has as left premise an application of the rule (taut).

## Subtyping algorithm

$$(\text{AlgRefl}) \quad C \vdash X \leq X$$

$$(\text{AlgTrans}) \quad \frac{C \vdash C(X) \leq T}{C \vdash X \leq T}$$

$$(\text{Top}) \quad C \vdash T \leq \text{Top}$$

$$(\rightarrow) \quad \frac{C \vdash T_1 \leq S_1 \quad C \vdash S_2 \leq T_2}{C \vdash S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2}$$

$$(\forall) \quad \frac{C \vdash T_1 \leq S_1 \quad C, (X \leq T_1) \vdash S_2 \leq T_2}{C \vdash \forall (X \leq S_1) S_2 \leq \forall (X \leq T_1) T_2}$$

## Typing algorithm

$$[\text{Vars}] \quad C ; \Gamma \vdash x : \Gamma(x)$$

$$[\rightarrow I] \quad \frac{C ; \Gamma, (x : T) \vdash a : T'}{C ; \Gamma \vdash (\lambda x^T.a) : T \rightarrow T'}$$

$$[\rightarrow E] \quad \frac{C ; \Gamma \vdash a : U \quad C ; \Gamma \vdash b : S' \quad C \vdash S' \leq S}{C ; \Gamma \vdash a(b) : T} \quad \mathcal{B}_C(U) = S \rightarrow T$$

$$[\text{Top}] \quad C ; \Gamma \vdash \text{top} : \text{Top}$$

$$[\forall I] \quad \frac{C, (X \leq T) ; \Gamma \vdash a : T'}{C ; \Gamma \vdash \forall X \leq T. a : \forall (X \leq T) T'}$$

$$[\forall E] \quad \frac{C ; \Gamma \vdash a : U \quad C \vdash S' \leq S}{C ; \Gamma \vdash a(S') : T[X := S']} \quad \mathcal{B}_C(U) = \forall (X \leq S) T$$

## Definition 2

$$\mathcal{B}_C(T) = \begin{cases} \mathcal{B}_C(C(X)) & \text{if } T \equiv X \\ T & \text{otherwise} \end{cases}$$

Typing and subtyping algorithms are sound and complete

**Sound and complete does not mean decidable!!**

let  $\neg T$  and  $\forall(X)T$  denote  $T \rightarrow \text{Top}$  and  $\forall(X \leq \text{Top})T$ :

$$X_0 \leq \forall(Y)\neg(\forall(Z \leq Y)\neg Y) \quad \vdash \quad X_0 \leq \forall(X_1 \leq X_0)\neg X_0$$

by applying AlgTrans:

$$X_0 \leq \forall(Y)\neg(\forall(Z \leq Y)\neg Y) \quad \vdash \quad \forall(X_1)\neg(\forall(X_2 \leq X_1)\neg X_1) \leq \forall(X_1 \leq X_0)\neg X_0$$

by applying  $(\forall)$ :

$$X_0 \leq \forall(Y)\neg(\forall(Z \leq Y)\neg Y), X_1 \leq X_0 \quad \vdash \quad \neg(\forall(X_2 \leq X_1)\neg X_1) \leq \neg X_0$$

by the contravariance of  $(\rightarrow)$ :

$$X_0 \leq \forall(Y)\neg(\forall(Z \leq Y)\neg Y), X_1 \leq X_0 \quad \vdash \quad X_0 \leq \forall(X_2 \leq X_1)\neg X_1$$

the same judgement as the one we started from.

**Just semi-decidability holds**

Kernel-Fun: compare quantifications with equal bounds.